

STATE OF CALIFORNIA

REPORT TO THE JOINT LEGISLATIVE BUDGET COMMITTEE

Data to Facilitate Legislative Review of the Outcomes of the Dual Agency Program and Payment Changes

**California Department of Social Services and the Department of
Developmental Services Consumer Data Reporting Period**

July 2016 to December 2016



Welfare and Institutions Code section 11464(h)

LEGISLATIVE MANDATE

Welfare and Institutions Code section 11464(h), added by Senate Bill 84 (Chapter 177, Statutes of 2007), requires the California Department of Social Services and the Department of Developmental Services to semiannually submit to the Joint Legislative Budget Committee a report to facilitate review of the outcomes of the rate structure changes for rates paid on behalf of children who are both consumers of California regional center services and receive Aid to Families with Dependent Children–Foster Care, Kin-GAP, or Adoption Assistance Program benefits.

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EXECUTIVE SUMMARY

Background

This report to the Joint Legislative Budget Committee (JLBC) represents a six-month reporting period to include July 2016 through December 2016 data and provides the Legislature with information regarding the outcomes of establishing a rate structure for children who are both consumers¹ of California regional center services and receive Aid to Families with Dependent Children-Foster Care (AFDC-FC), Kinship-Guardianship Assistance Payment (Kin-GAP), or Adoption Assistance Program (AAP) benefits. A consumer of California regional center services is a child receiving services from a California regional center due to a developmental disability as defined by Welfare and Institutions Code (WIC) section 4512 (Lanterman Act) or a child receiving services under Government Code section 95000 (California Early Intervention Services Act [CEISA]). Children in the dual agency AFDC-FC population eligible to receive a dual agency rate are those children placed in a licensed community care facility that is not vendorized; the approved home of a relative; the approved home of a non-relative extended family member; the home of a non-related legal guardian; or the former non-related legal guardian when the guardianship of a child, otherwise eligible for AFDC-FC, has been dismissed due to the child attaining 21 years of age. For purposes of this report, the eligible population of children will be referred to as “dual agency” children.

The caseloads for this report covers July 1, 2016 to December 31, 2016. This report does not reflect all children in foster care receiving services from a California regional center. This report excludes caseloads of children placed in the certified home of a foster family agency (FFA) or a group home.

Summary²

This October 2017 report data reflects dual agency children in foster care for at least two days in December 2016, adoptions finalized by December 31, 2017, and dual agency children in foster care for at least two days in December 2017 who exited to

¹ The word “consumer” is defined in WIC 4512(d) as a person who has been determined to have a disability that meets the definition of developmental disability in that section. For the purpose of this report, the word “consumer” is used to refer to both children who are consumers of regional center services and those who are receiving services under the California Early Intervention Services Act (CEISA), but who are under the age of three and have not yet been determined to have a developmental disability. This is consistent with the legislative intent of the report as set forth in WIC 11464(h), which includes children receiving CEISA services in the description of “consumers” for whom the data is to be reported.

² Summary data information less than 10 percent in the following categories, caseload, caseload and payment rate, and supplement to the dual agency rate *will not* be reflected in this report.

Kin-GAP through December 31, 2016. Hereafter, this data period will be referred to as December 2016. The December 2016 data shows the AFDC-FC, AAP, and Kin-GAP caseloads. See Figures 1, 2, 3, 4, and 5 (Pages 7, 8, and 9).

Figures 6, 7, 8, 9, 10, and 11 (Pages 10, 11, 12, 13, 14, and 15) represent the dual agency caseload and rate payment information. The foster care rate payment for dual agency children receiving AFDC-FC and AAP included rate payments that were equal to foster family home basic rates or Alternative Residential Model (ARM) rates established by DDS.

Data was collected from the AFDC-FC, AAP, and Kin-GAP 84 Form, the quarterly statistical report includes information on the number and nature of Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP, and Kin-GAP children. The related requests for the supplement to the dual agency rate for foster and adopted children with a developmental disability indicates that the actions taken are similar in the dual agency AFDC-FC, AAP, and Kin-GAP caseloads. The majority of the requests for a supplement to a dual agency rate are authorized upon initial request with 91 percent of dual agency children receiving AFDC-FC, 94.5 percent of dual agency children receiving AAP, and 33 percent of children receiving Kin-GAP benefits. The \$1,000 level of supplement was requested more than any other level of supplement to the dual agency rate at 73 percent when combined, e.g. AFDC-FC, AAP, and Kin-GAP caseloads as represented in Figures 15, 16 and 17 (Pages 19 and 20).

METHODOLOGY

To ensure reporting accuracy, the California Department of Social Services (CDSS) and the Department of Developmental Services (DDS) shared, matched, and analyzed the required data elements/information required by WIC section 11464(h). CDSS completes its file by matching records from the DDS database to Child Welfare Services/Case Management System (CWS/CMS). CWS/CMS is the statewide computer system that links all 58 counties and the state to a common database for online client case management. The system assists caseworkers in recording client demographics, contacts, services delivered, and placement information. It also enables case workers to record and update assessments, create and maintain case plans, and manage the placement of children in appropriate foster homes or facilities.

Although CWS/CMS is a source of information for many data elements, it is not a payment system. Therefore, CDSS obtained payment information from county payment systems in order to report on payments made to dual agency children. The county payment systems are referred to as Consortium IV (C-IV), Los Angeles Eligibility, Automated Determination, Evaluation and Reporting (LEADER) consortium, and California Work Opportunity and Responsibility to Kids Information Network (CalWIN) consortium. CDSS then sends a file to DDS to compare the matched list for dual agency children to DDS' Purchase of Service (POS) expenditure data.

CDSS gathered additional information from different and distinct data sources to determine the impact of the dual agency rate structure in the AFDC-FC, AAP, and Kin-GAP Programs. These sources serve different purposes in program administration: caseload information, payment information related to the caseload, and actions taken to determine the appropriate rate for the caseload. The Cash Grant Caseload Movement Report (CA 237) and the Assistance Claim Expenditures (CA 800) monthly reports, submitted by counties to CDSS, identify the various funding sources and the number of California children receiving AFDC-FC, Kin-GAP, and AAP benefits. These reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

The FC, AAP, and Kin-GAP 84 Form: "Supplement to the Rate Requests for Agency AFDC-FC and AAP Children Quarterly Statistical Report" captures supplement to the dual agency rate data elements. The county welfare departments and CDSS adoption district offices record county specific information regarding the number and levels of supplements to the dual agency rate requested; the number of supplements to the dual agency rate authorized upon request; and the number of supplements to dual agency rate denied upon request, but subsequently authorized after appeal. (The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population).

To calculate the percentage, change from year to year in caseload numbers, the following formula was used: $(\text{current} - \text{previous}) / \text{previous} = \text{percent}$. The percentages have been rounded up and may not always equal 100 percent.

The DDS data was drawn from the Uniform Fiscal System and the Client Diagnostic and Evaluation Report. The regional center services only populations in this report consist of children residing in the home of a parent or guardian, and for purposes of this report, are considered comparative to those receiving AFDC-FC, AAP, or Kin-GAP benefits.

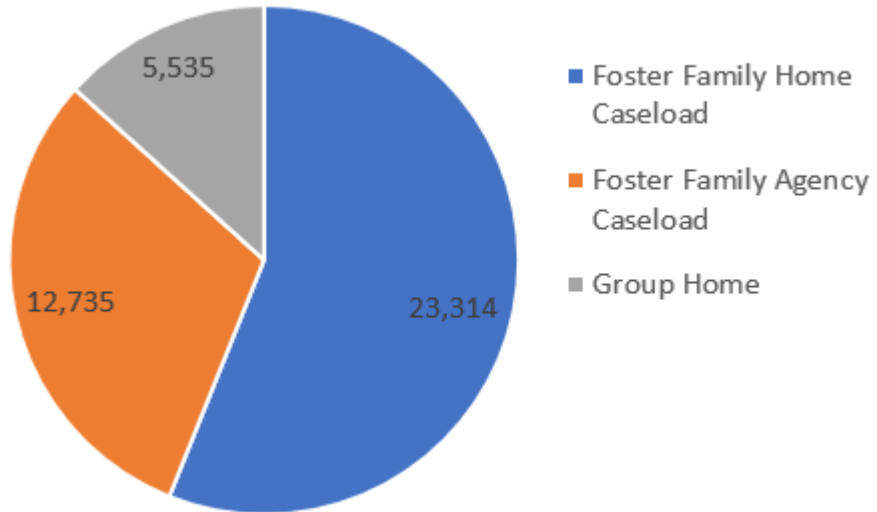
Limitations

The following limitations were identified in the collection and review of dual agency data:

- The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.
- The data represents a point in time and eligibility determinations may have been in progress during the time of the data run.
- There is a lag between the date of a rate supplement request and the date of a decision to approve or deny that request (*This is evident in Figures 14, 17, 20, and 21*).
- Information is not available to determine whether the level of the rate supplement request or the non-eligibility of a child is the reason for a denial or appeal.

AFDC-FC/AAP/KIN-GAP CASELOADS

Figure 1: Foster Care Caseloads



Data Sources: CA 237 and CWS/CMS and DDS matched file, July 2016 to December 2016.

Figure 1 indicates between July 2016 and December 2016, the total AFDC-FC caseload was 41,584. The AFDC-FC Family Home caseload (23,314) represented about 56 percent of the total AFDC-FC caseload. While not within the scope of this report, the remaining 44 percent are represented by the Foster Family Agency and Group Home caseloads.

Figure 2: Dual Agency Foster Care Caseloads By Year and Age

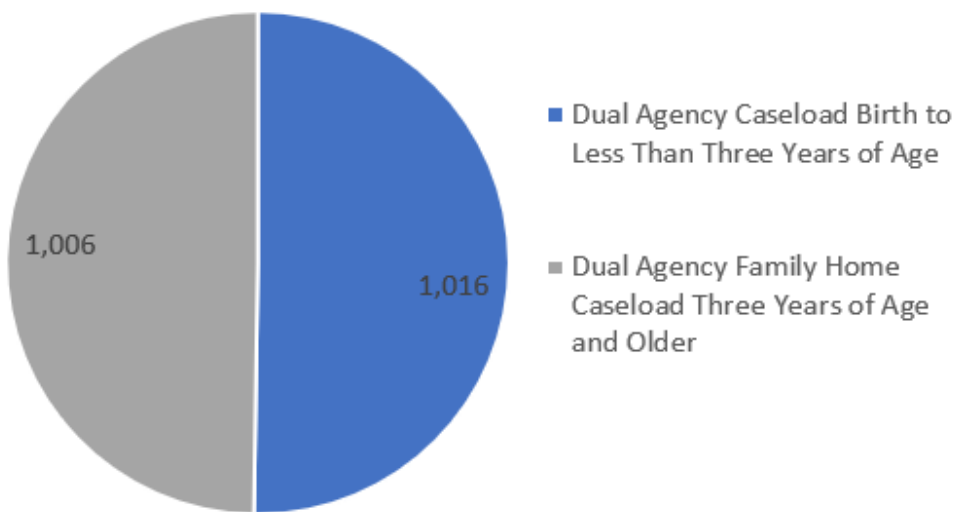


Figure 2 indicates between July 2016 and December 2016, the combined Dual Agency Foster Care caseload was 2,022. Children, birth to less than three years of age, represented about 1,016 cases. The dual agency family home caseload, three years of age and older, represented 1,006 cases. Each group comprised about 50 percent of the total Dual Agency Foster Care caseloads.

Figure 3: AAP and Dual Agency Family Home Caseloads By Year and Age

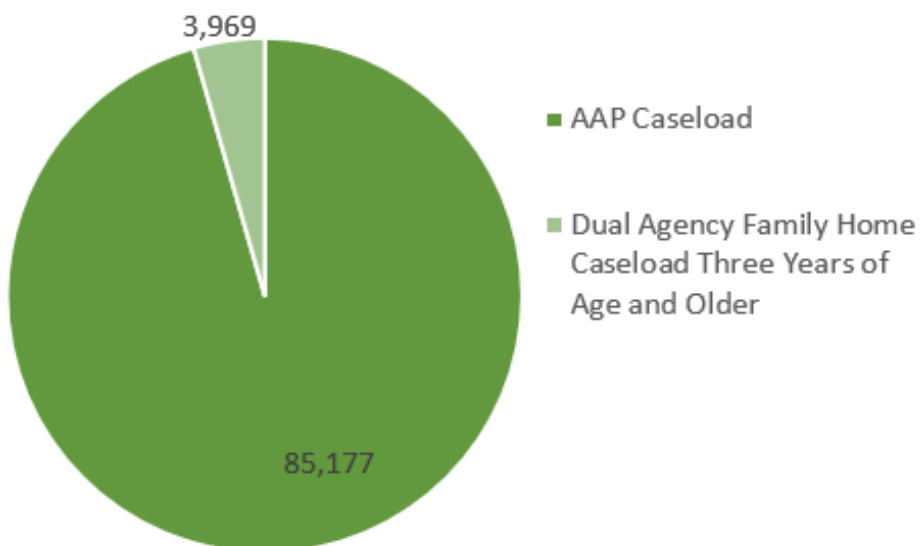


Figure 3 indicates between July 2016 and December 2016, the AAP caseload was 85,177 and the Dual Agency AAP caseload was 3,969 for children three years of age and older.

Figure 4: Dual Agency AAP Caseloads By Year and Age

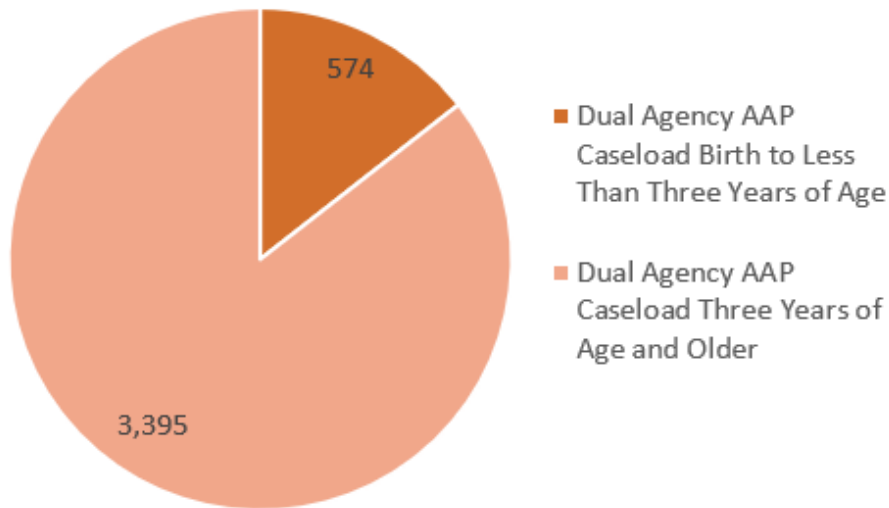


Figure 4 indicates between July 2016 and December 2016, the Dual Agency AAP caseload was 3,969 children. The Dual Agency AAP caseload, three years of age and older, represented about 3,395 cases at 86 percent. The birth to less than three years of age caseload represented 574 cases at 14 percent.

Figure 5: Dual Agency Kin-GAP Caseload

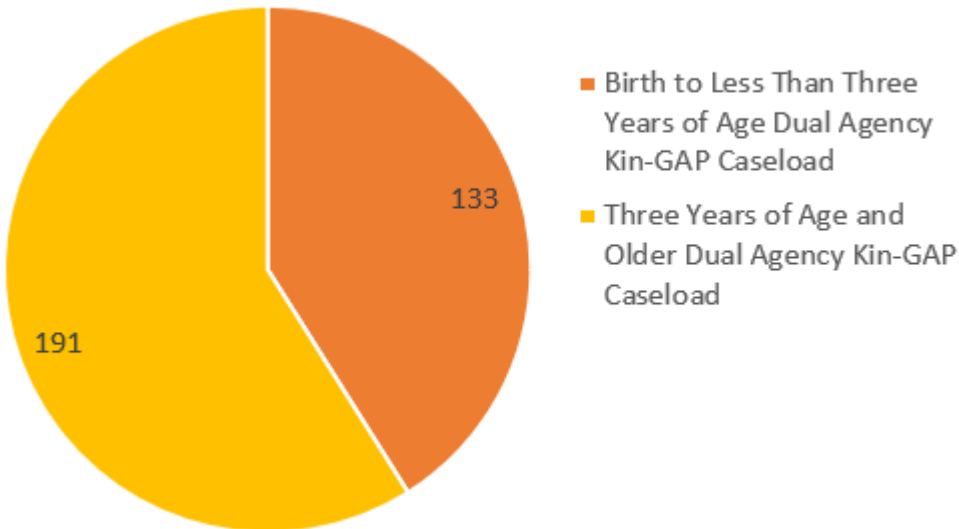


Figure 5 indicates between July 2016 and December 2016, the Dual Agency Kin-GAP caseload was 324. Of the 324 Dual Agency Kin-GAP caseload, the three years of age and older, (191) represented about 59 percent of the caseload. The birth to less than three years of age (133) represented forty-one percent.

AFDC-FC/AAP/KIN-GAP PAYMENT RATES

In this section of the report, the California Necessities Index (CNI) increases were included in the payment rates. Payment rate data in Figures 6 through 11 were derived from the C-IV, LEADER, and CalWIN payment systems. The caseload and payment rate data in Figures 6 through 11 represent the different amounts paid on behalf of the dual agency AFDC-FC, AAP, and Kin-GAP caseloads. Some of the amounts paid were equal to foster family home basic rates, ARM rates established by DDS, and dual agency rates. Missing payment information means that a few of the cases that CDSS matched with DDS did not have a record of payment being made in the reported months (June 2016 and December 2016).

Additionally, the December 2016 data in Figures 6 through 11 represent the dual agency rate established in statute with the CNI increases that were effective July 1, 2015 through June 30, 2016. Foster Care Dual Agency caseloads in Figures 6 and 7 were based on family home placements in small family homes, foster family homes, approved relative and non-relative extended family member homes, tribe-specified homes, and homes of non-related legal guardians.

**Figure 6: Foster Care Dual Agency Caseload and Payment Rate
Birth to Less Than Three Years of Age**

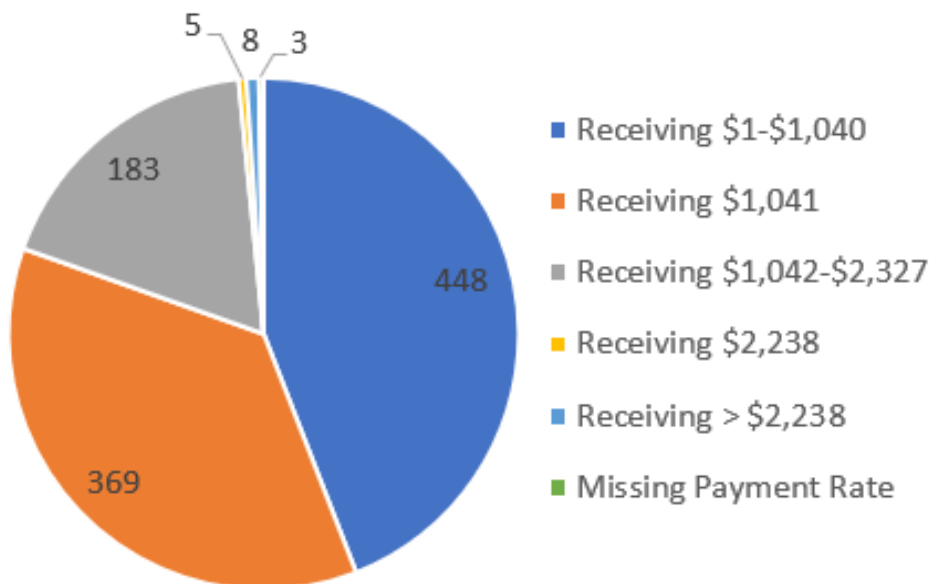


Figure 6 indicates between July 2016 and December 2016, there were 1,016 dual agency children, birth to less than three years, placed in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions (*Rate include Fiscal Year (FY) 2016-17 CNI increases*). In this section of the report, the CNI increases were included in the payment rates.

**Figure 7: Foster Care Dual Agency Caseload and Payment Rates
Three Years of Age and Older**

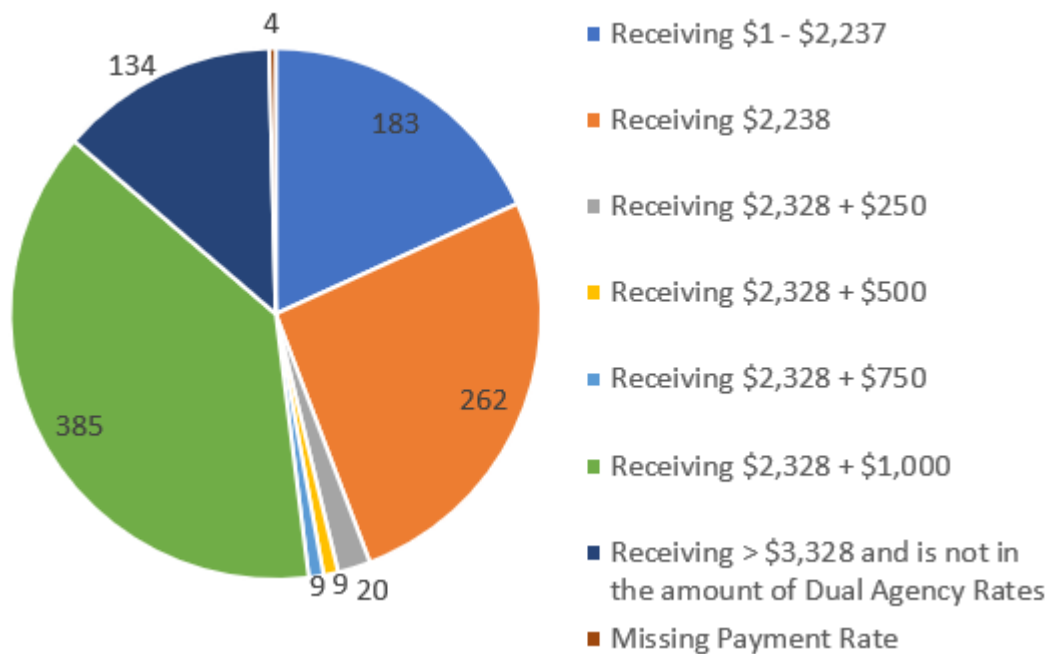


Figure 7 indicates between July 2016 and December 2016, there were 1,006 dual agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 8: AAP Dual Agency Caseload and Payment Rates
Birth to Less Than Three Years of Age**

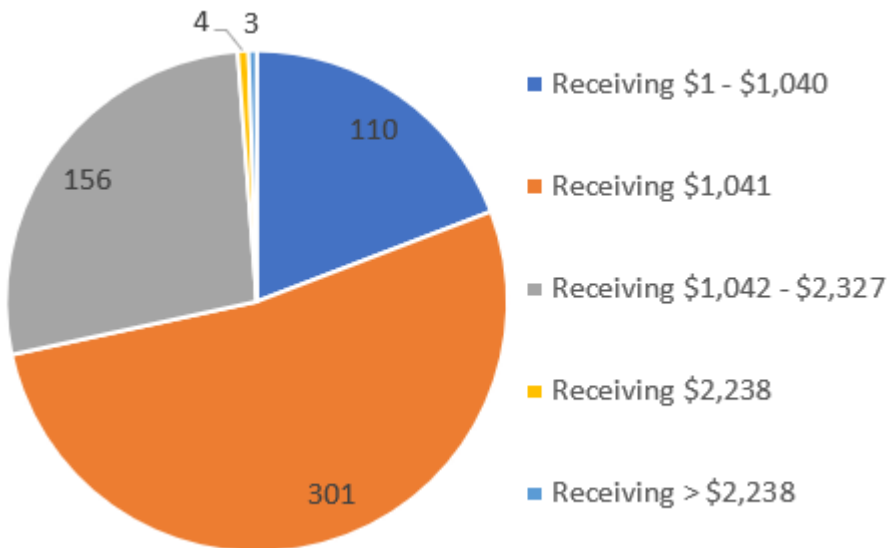


Figure 8 indicates between July 2016 and December 2016, there were 574 dual agency children, birth to less than three years of age, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 9: AAP Dual Agency Caseload and Payment Rates
Three Years of Age and Older**

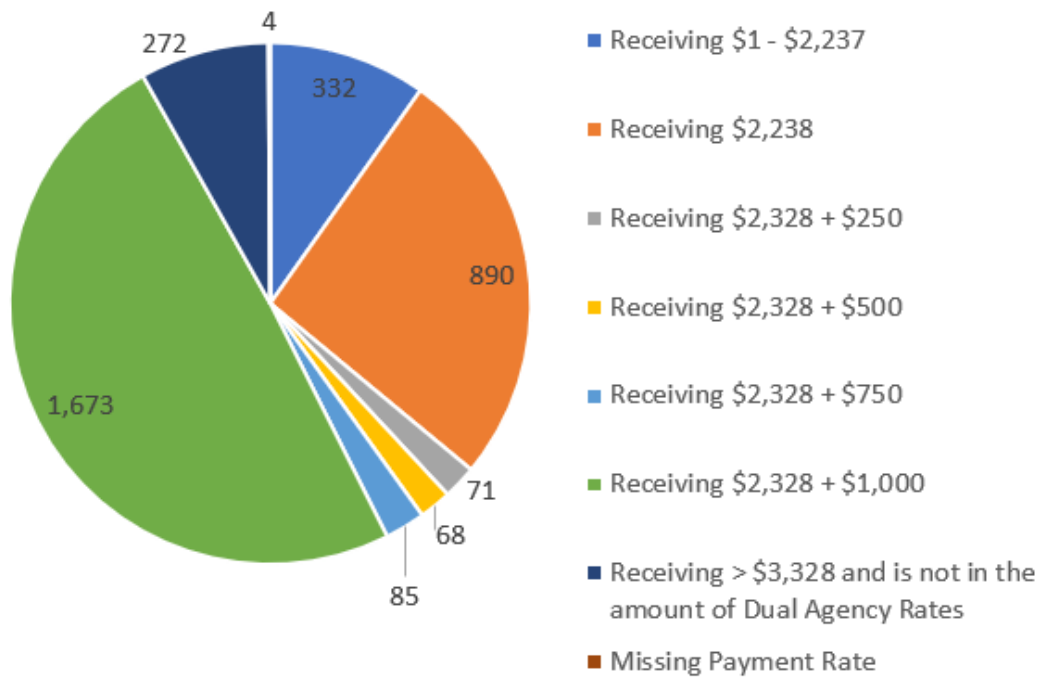


Figure 9 indicates between 2016 and December 2016, there were 3,395 dual agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 10: Kin-GAP Dual Agency Caseload and Payment Rates
Birth to Less Than Three Years of Age**

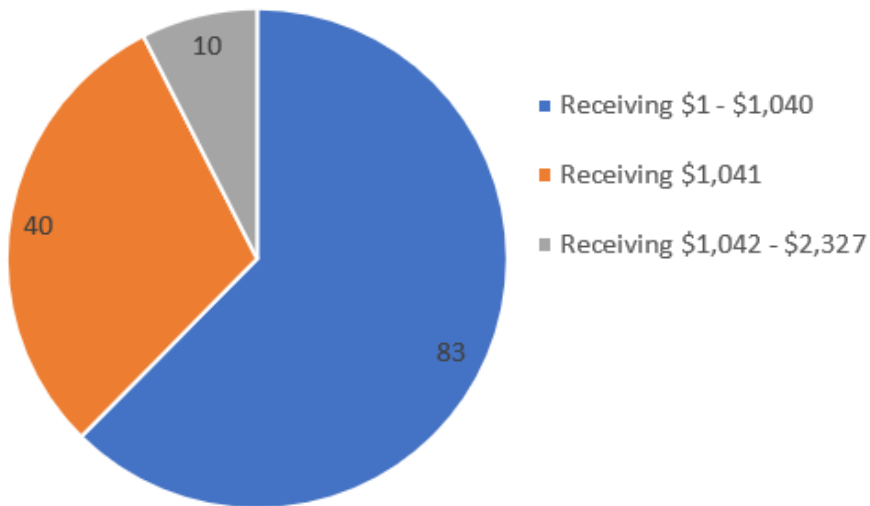


Figure 10 indicates between July 2016 and December 2016, there were 133 Kin-GAP Dual Agency children, birth to less-than three years of age, placed in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 11: Foster Care Dual Agency Caseload and Payment Rates
Three Years of Age and Older**

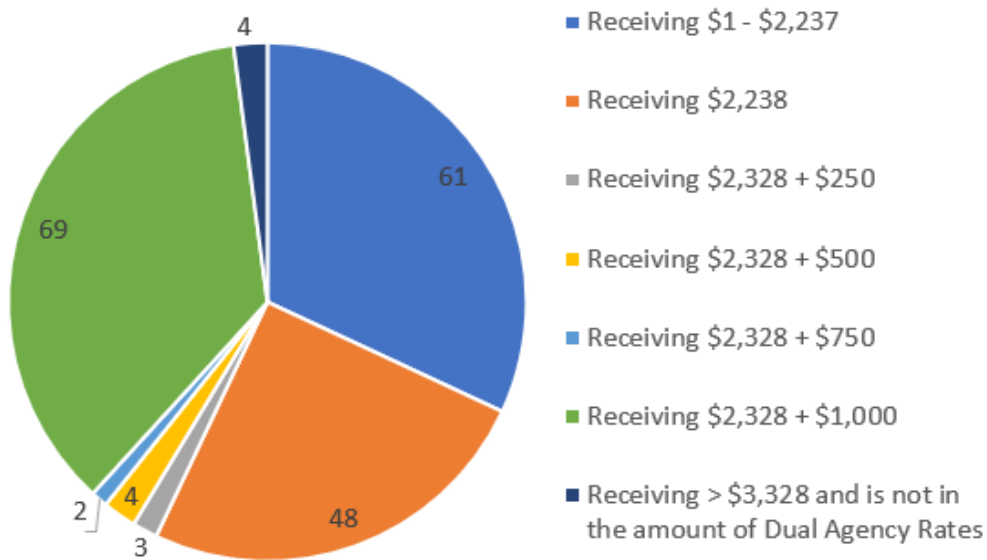


Figure 11 indicates between July 2016 and December 2016, there were 191 Foster Care Dual Agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

SUPPLEMENT TO THE DUAL AGENCY RATE

In 2007 the CDSS and DDS, in consultation with stakeholders, were required to develop objective criteria to be used for determining eligibility for and the appropriate level of a supplement to the dual agency rate for a child three years of age and older based on the extraordinary care and supervision needs of the child. Three forms were developed:

SOC 835: “*Supplement to the Dual Agency Rate-Multiple Questionnaire Worksheet,*”

SOC 836: “*Supplement to the Rate Eligibility Form,*” and

SOC 837: “*Supplement to the Rate-Questionnaire*” based on the objective criteria counties use to determine the level of supplement to the dual agency rate.

WIC section 11464(h)(2)(E)(F) and (G) require CDSS to report the following:

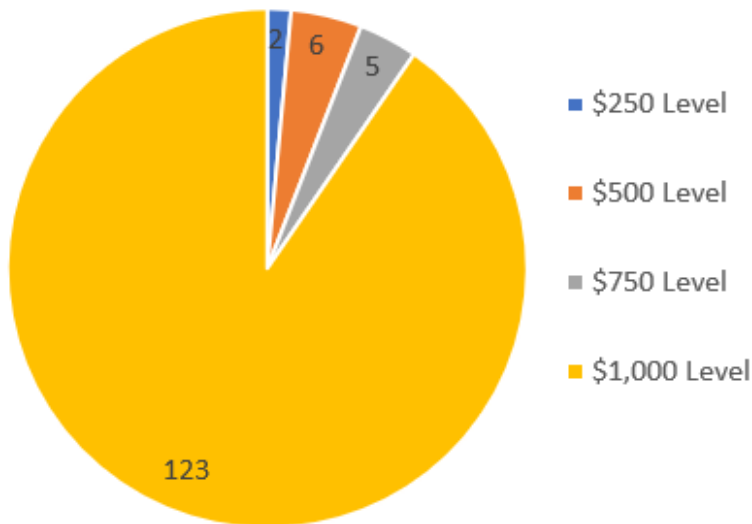
- The number and levels of the supplement to the rate pursuant to WIC section 11464(c)(2) that were requested are found in Figures 12, 13 and 14 (Pages 17 and 18).
- The number of supplements to the rate that are authorized upon the caregiver’s requests are found in Figures 15, 16 and 17 (Pages 19 and 20).
- The number of supplements to the rate that are denied after request but granted upon appeal are found in Figures 18, 19, 20 and 21 (Pages 20 and 21).
- The number of AFDC-FC, AAP, and Kin-GAP Appeals that are authorized can be found in Figure 21 (Page 22).

The AFDC-FC/AAP/Kin-GAP 84 Form: “Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP and Kin-GAP Children Quarterly Statistical Report” was developed by CDSS to capture the data elements identified above. The AFDC-FC/AAP/Kin-GAP 84 report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.

The AFDC-FC/AAP/Kin-GAP 84 Form did not capture Approved Relative Caregiver (ARC) Funding Program data for this reporting period. Supplement to the dual agency rate information for this population is projected to be available in 2018, allowing for the collection of four data periods. Kin-GAP data collection is described in All County Letter 14-22 dated March 13, 2014.

SUPPLEMENT TO DUAL AGENCY RATES

Figure 12: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in AFDC-FC



Data Sources: FC-AAP-KG 84, July 2016 - December 2016.

Figure 12 indicates that between July 2016 and December 2016, there were 136 new requests received for a supplement to the dual agency rate in AFDC-FC. Ninety percent were requested at the \$1,000 level category.

Figure 13: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in AAP

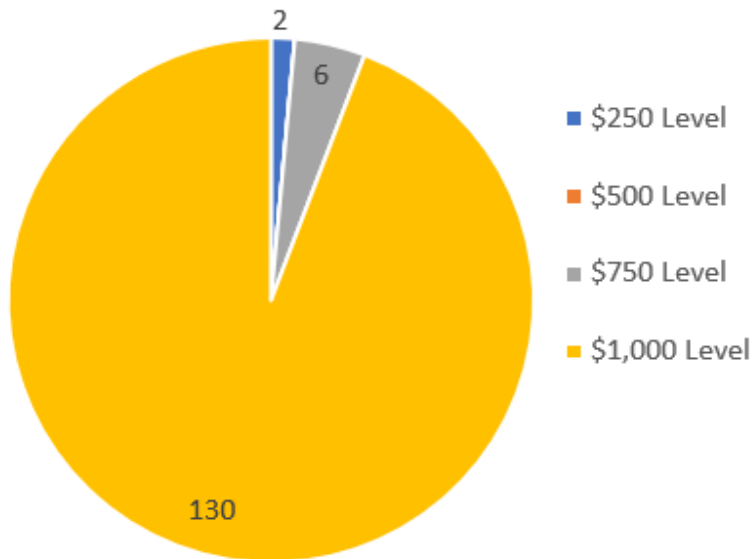


Figure 13 indicates between July 2016 and December 2016, there were 138 new requests received for a supplement to the Dual Agency rate in AAP. Ninety-four percent were requested at the \$1,000 level category.

Figure 14: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in Kin-GAP

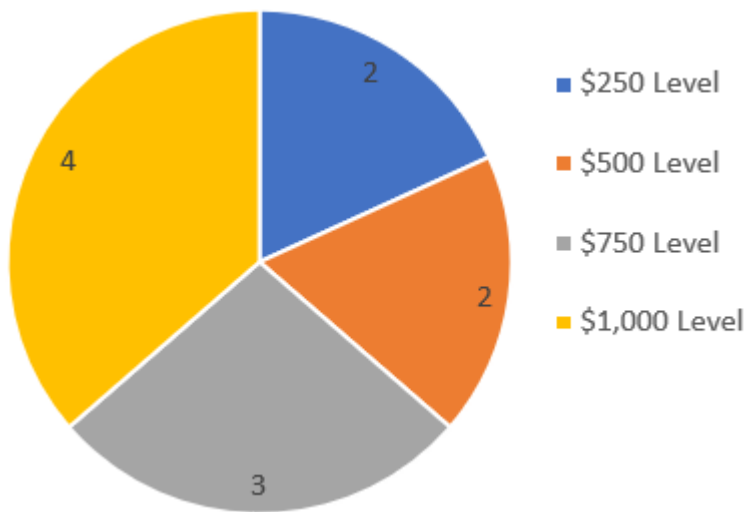


Figure 14 indicates between July 2016 and December 2016, there were 11 new requests received for a supplement to the Dual Agency rate in Kin-GAP.

Figure 15: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AFDC-FC

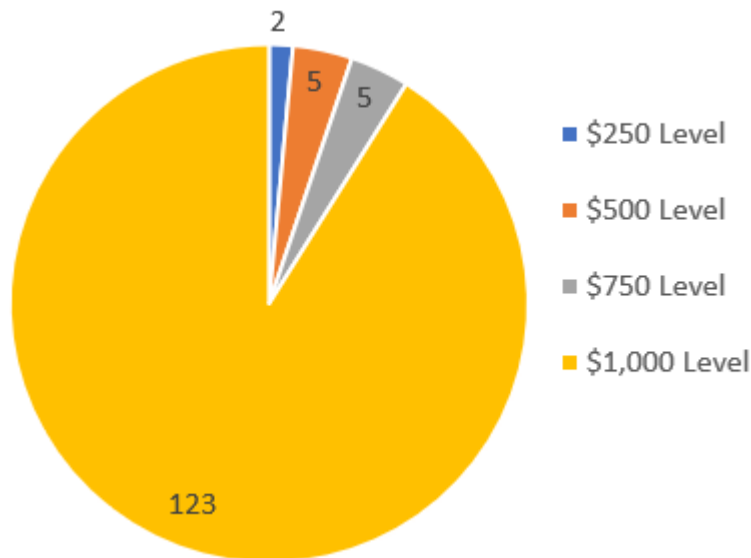


Figure 15 indicates between July 2016 and December 2016, there were 135 new requests made and authorized for a supplement to the rate in AFDC-FC. Ninety-one percent of the requests were made and authorized at the \$1,000 level category.

Figure 16: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AAP

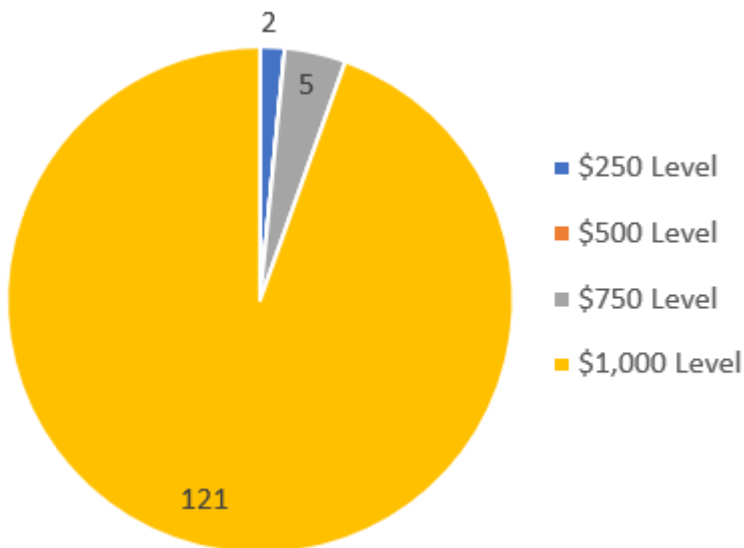


Figure 16 indicates between July 2016 and December 2016, there were 128 new requests received and authorized for a supplement to the rate in AAP. Ninety-four percent of the requests were made and authorized at the \$1,000 level category.

Figure 17: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in Kin-GAP

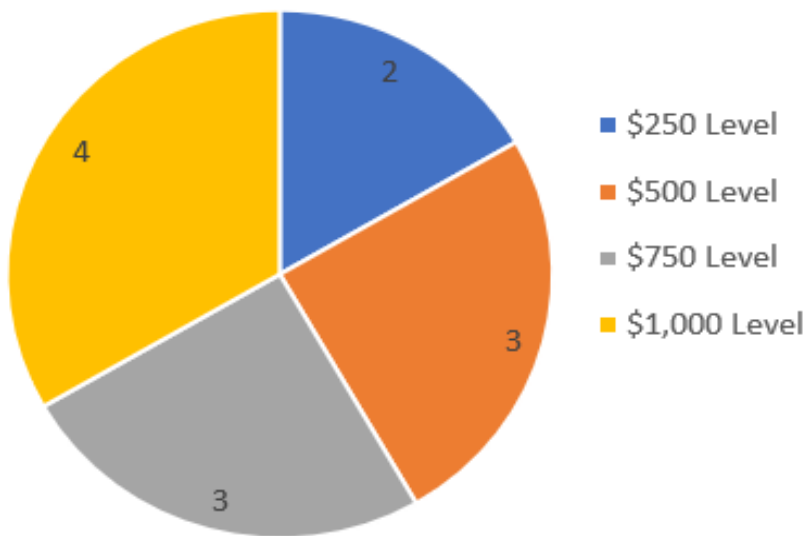


Figure 17 indicates between July 2016 and December 2016, there were 12 new requests made and authorized for a supplement to the rate in Kin-GAP.

Figure 18: Cumulative Number of Requests for Supplement to the Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in AFDC-FC

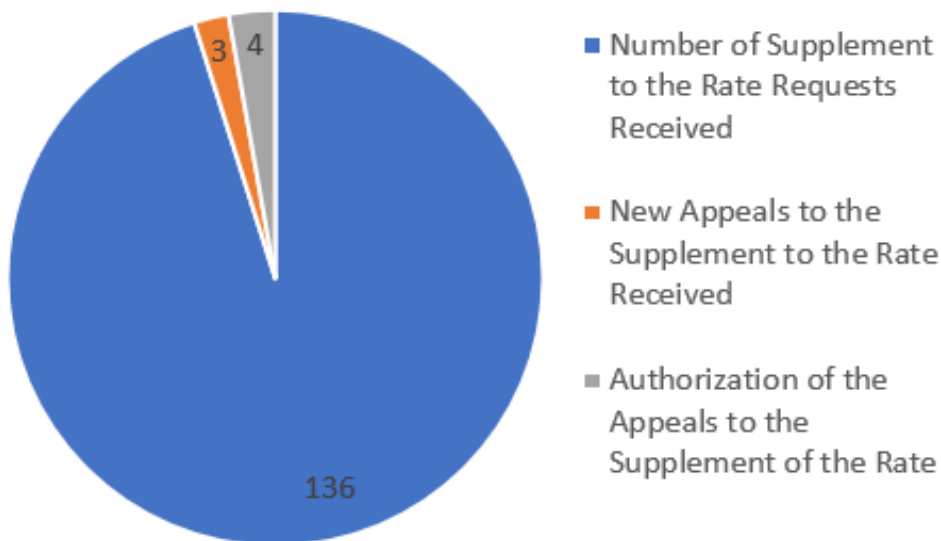


Figure 18 indicates between July 2016 and December 2016, there were 136 requests received for a supplement to the Dual Agency rate in the AFDC-FC Program.

As previously stated in the methodology, these reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

Figure 19: Cumulative Number of Requests for Supplement to the Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in AAP

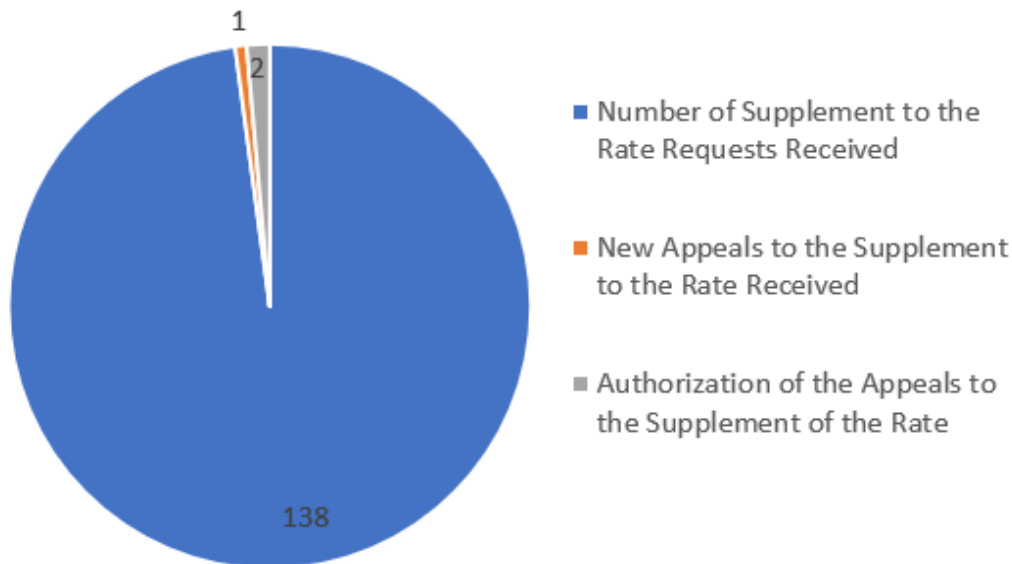


Figure 19 indicates between July 2016 and December 2016, there were 138 requests received for a supplement to the dual agency rate in the AAP Program.

Figure 20: Cumulative Number of Requests for Supplement to Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in Kin-GAP

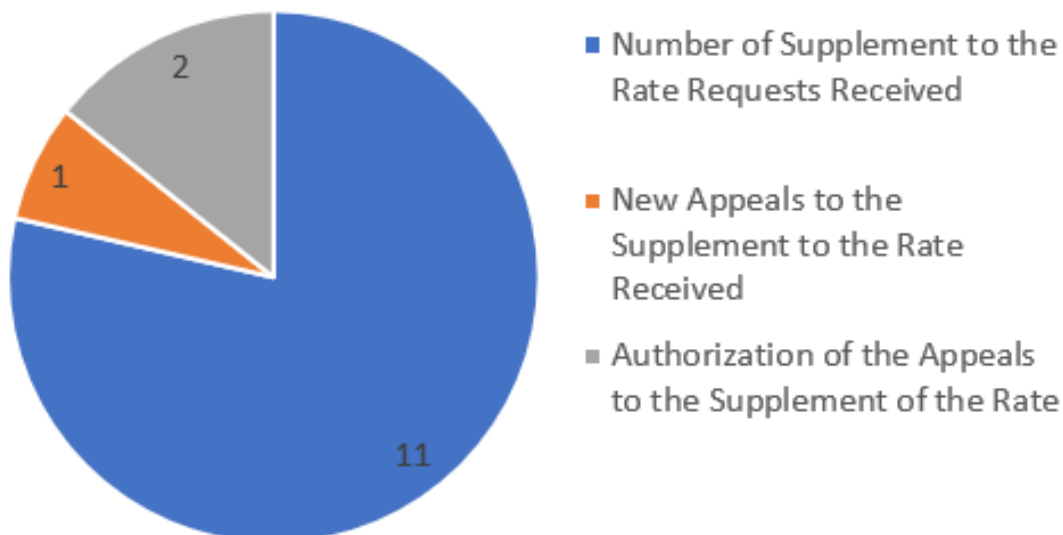


Figure 20 indicates between July 2016 and December 2016, there were 11 requests for a supplement to the dual agency rate in the Kin-GAP Program.

Figure 21: Cumulative Number of AFDC-FC, AAP, and Kin-GAP Appeals that were Authorized

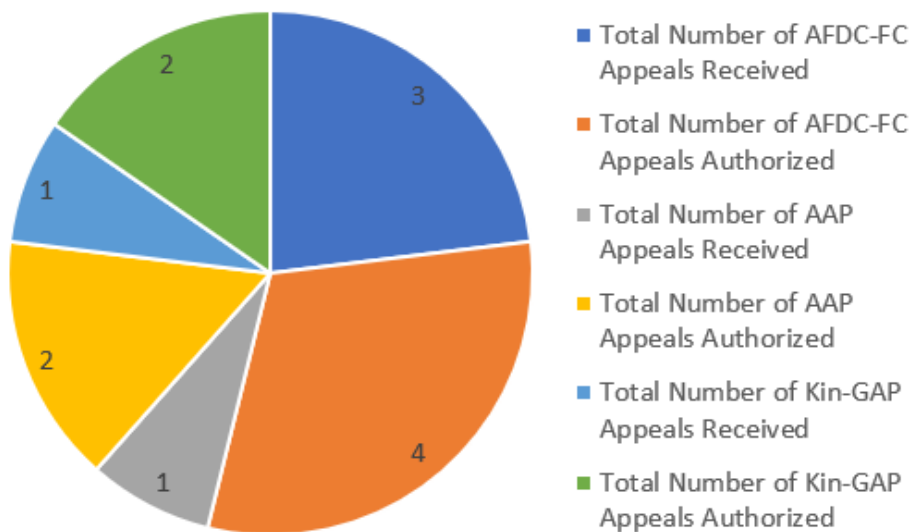


Figure 21 indicates between July 2016 and December 2016 as follows:

- Number of AFDC-FC appeals received was three;
- Number of AFDC-FC appeals authorized was four;
- Number of AAP appeals received was one;
- Number of AAP appeals authorized was two;
- Number of Kin-GAP appeals received was one; and
- Number of Kin-GAP appeals authorized was two.

The data results are too small to make any conclusive narrative about the data results. Also, each of the Appeals Received for AFDC-FC, AAP, and Kin-GAP were subsequently authorized.

DUAL AGENCY SERVICES

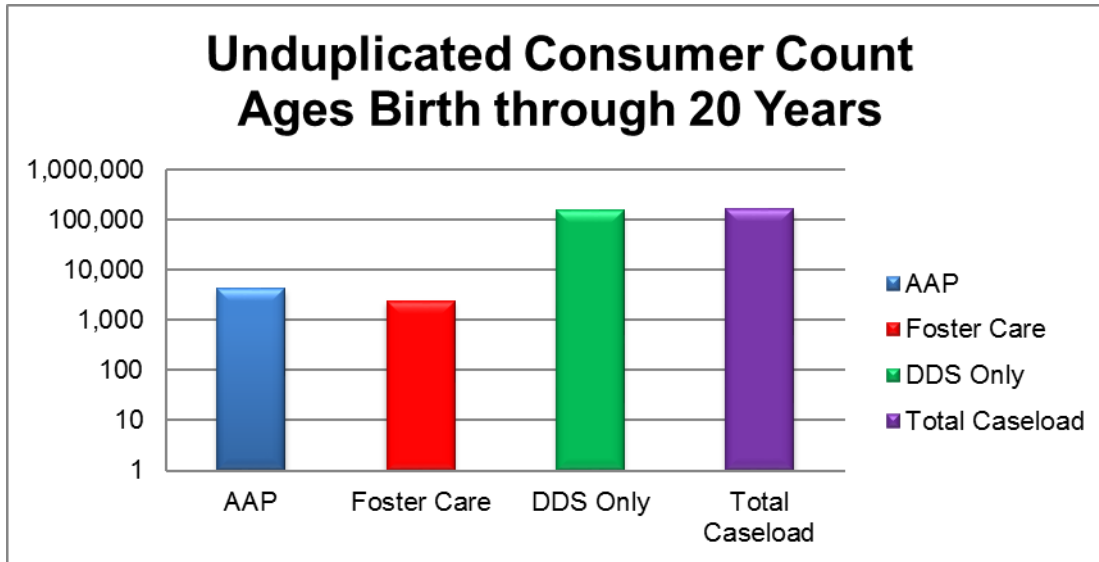
Data guidance: Consideration of data in the DDS tables should include the following:

- Total and average per consumer costs reflected in this report covers a six-month reporting period (July – December 2016).
- Purchase of Service (POS) percentages and consumer counts in this report showed little variance when compared with data from the previous report.
- Where the target population is small, extraordinary POS, by one or relatively few consumers, might skew the average purchase of service total which may result in a larger cost per consumer.
- Service needs differ by consumer and families; differences in the individual service total expenditures and average consumer expenditures may skew the cost per consumer.
- The majority of consumers have not been diagnosed before age three; therefore, no diagnostic data are included for consumers under three years of age.
- Caseload information provided within this report represents only those individuals receiving services beyond case management.

It should also be noted that some AAP or AFDC-FC recipients also received residential services, adult day program services, and supported living services purchased by the regional centers. This is due to two key factors:

- Consumers may receive AAP or AFDC-FC through age 21 if certain eligibility criteria are met. The consumer may benefit from residential and/or adult day program services as part of their transition into adulthood.
- Both foster and adoptive families are permitted to temporarily place consumers into residential care with the expectation of an eventual return to the family home.

DDS Figure 1



DDS Figure 2

DATA	AAP	Foster Care	DDS Only	Total Caseload
Unduplicated Total Ages Birth through 2 Years	586	1,267	36,258	38,111
Unduplicated Total Ages 3 through 20 Years	3,609	1,155	120,750	125,514
Unduplicated Total Ages Birth through 20 Years	4,195	2,422	157,008	163,625

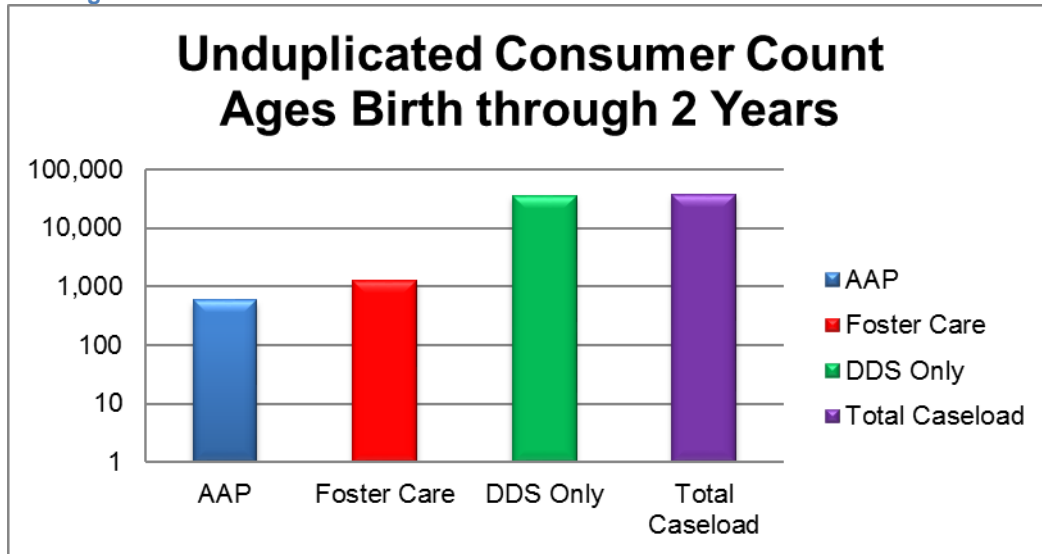
DDS Figure 3

Purchase of Service (POS)		1-AAP		2-Foster Care		3-DDS Only		Grand Total
Unduplicated Total Ages Birth through 20 Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	1,688	40%	673	28%	56,209	36%	58,570
	Yes	2,507	60%	1,749	72%	100,799	64%	105,055
Unduplicated Total		4,195	100%	2,422	100%	157,008	100%	163,625
Percentage of Caseload		2.6%		1.5%		96.0%		100.0%

DDS Figures 1 and 2 show consumers, ages birth through 20 years, receiving services beyond case management. Consumers are categorized by AAP, Foster Care (FC), and DDS Only. The total of AAP, FC, and DDS Only population is 163,625. Of this number, 105,055 receive POS.

DDS Figure 3 shows the percent of consumers receiving services purchased through DDS. As the data indicates, the DDS Only caseload is far greater than the dual agency caseloads. Data on the following pages includes not only totals for each caseload, but also expenditures per person for each caseload.

DDS Figure 4



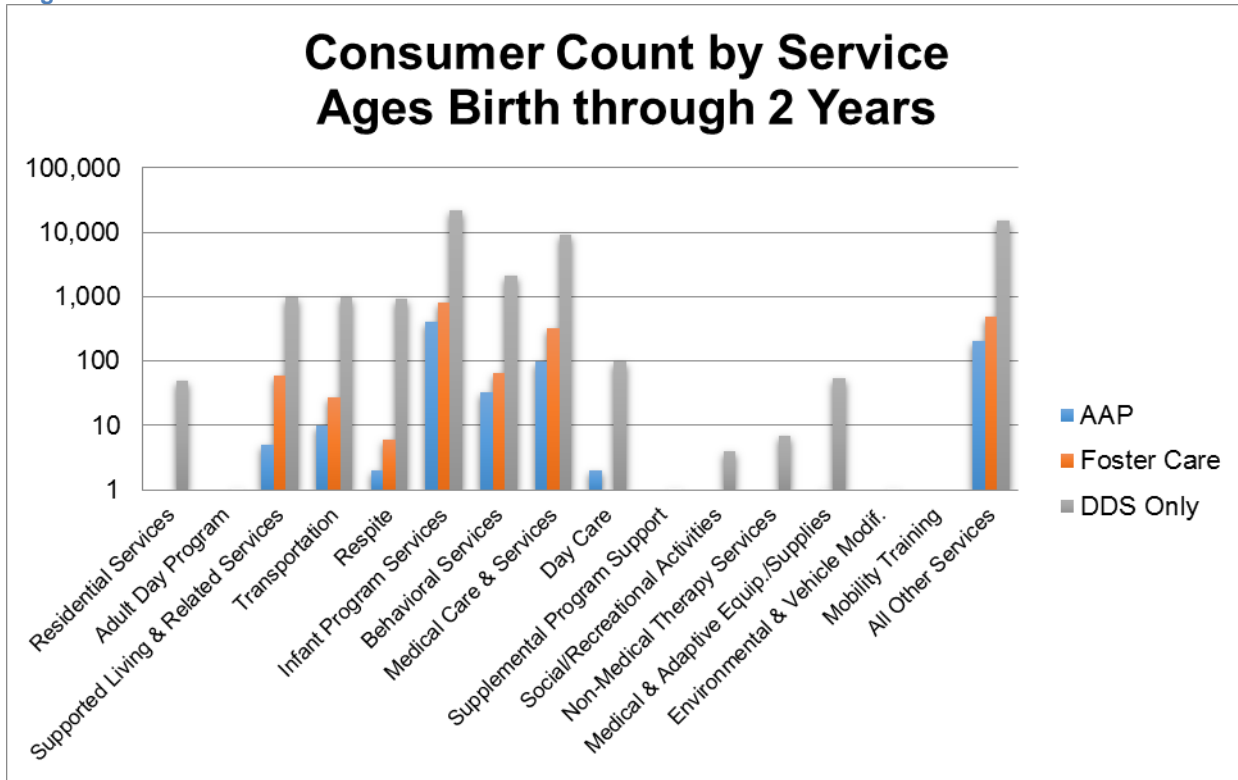
DDS Figure 5

Purchase of Service (POS)		1-AAP		2-Foster Care		3-DDS Only		Grand Total
Birth through 2 Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	48	8%	80	6%	2,923	8%	3,051
	Yes	538	92%	1,187	94%	33,335	92%	35,060
Unduplicated Total		586		1,267		36,258		38,111
Percentage of Caseload		1.5%		3.3%		95.1%		

DDS Figure 4 shows the unduplicated count of consumers receiving services beyond case management, ages birth through 2 years, by caseload (AAP, FC, and DDS Only). The total AAP, FC, and DDS Only population for consumers, ages birth through 2 years, is 38,111; of these consumers, 35,060 receive POS.

DDS Figure 5 shows the percent of consumers receiving services purchased through DDS.

DDS Figure 6

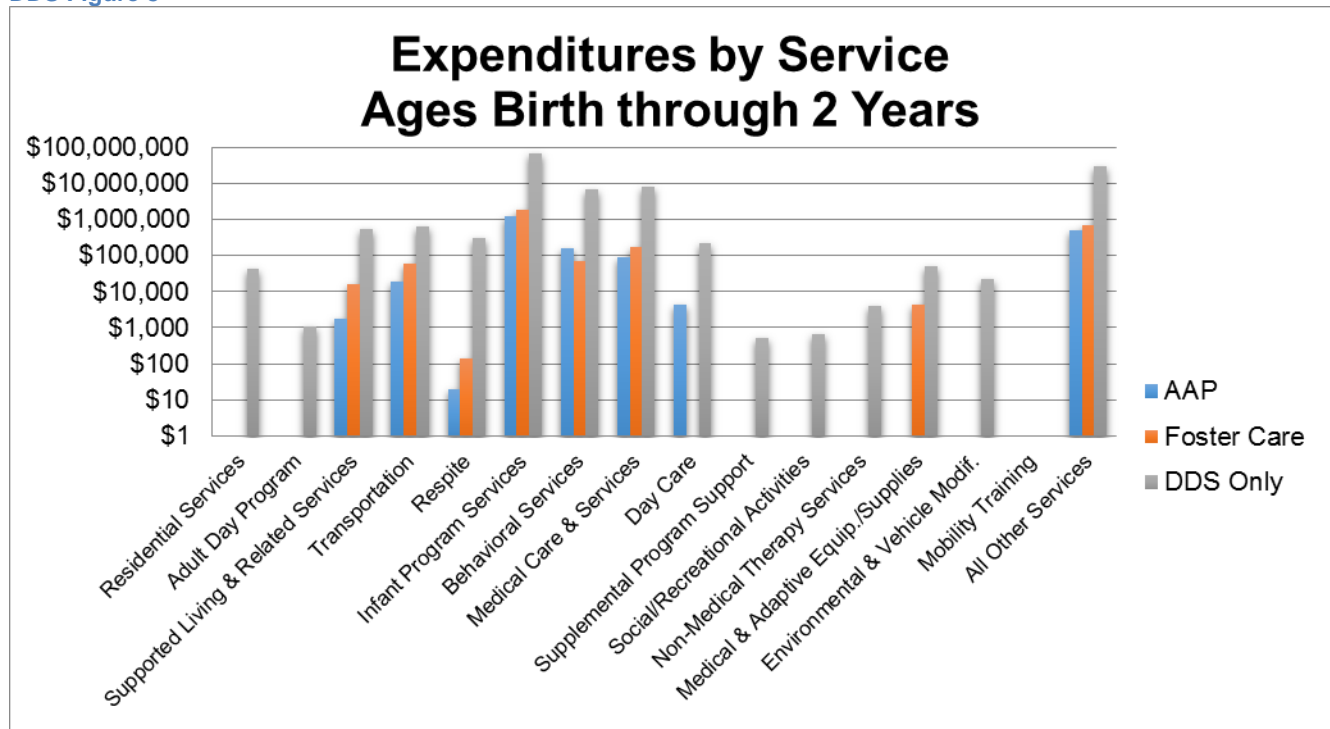


DDS Figure 7

DATA	AAP	Foster Care	DDS Only	Grand Total
Residential Services	0	0	49	49
Adult Day Program	0	0	1	1
Supported Living & Related Services	5	59	951	1,015
Transportation	10	27	994	1,031
Respite	2	6	936	944
Infant Program Services	402	806	22,176	23,384
Behavioral Services	32	64	2,139	2,235
Medical Care & Services	98	323	9,233	9,654
Day Care	2	0	99	101
Supplemental Program Support	0	0	1	1
Social/Recreational Activities	0	0	4	4
Non-Medical Therapy Services	0	0	7	7
Medical & Adaptive Equip./Supplies	0	1	53	54
Environmental & Vehicle Modifications	0	0	1	1
Mobility Training	0	0	0	0
All Other Services	208	492	15,526	16,226
Unduplicated Total Receiving POS	538	1,187	33,335	35,060

DDS Figures 6 and 7 display the consumer count for services received by consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 8

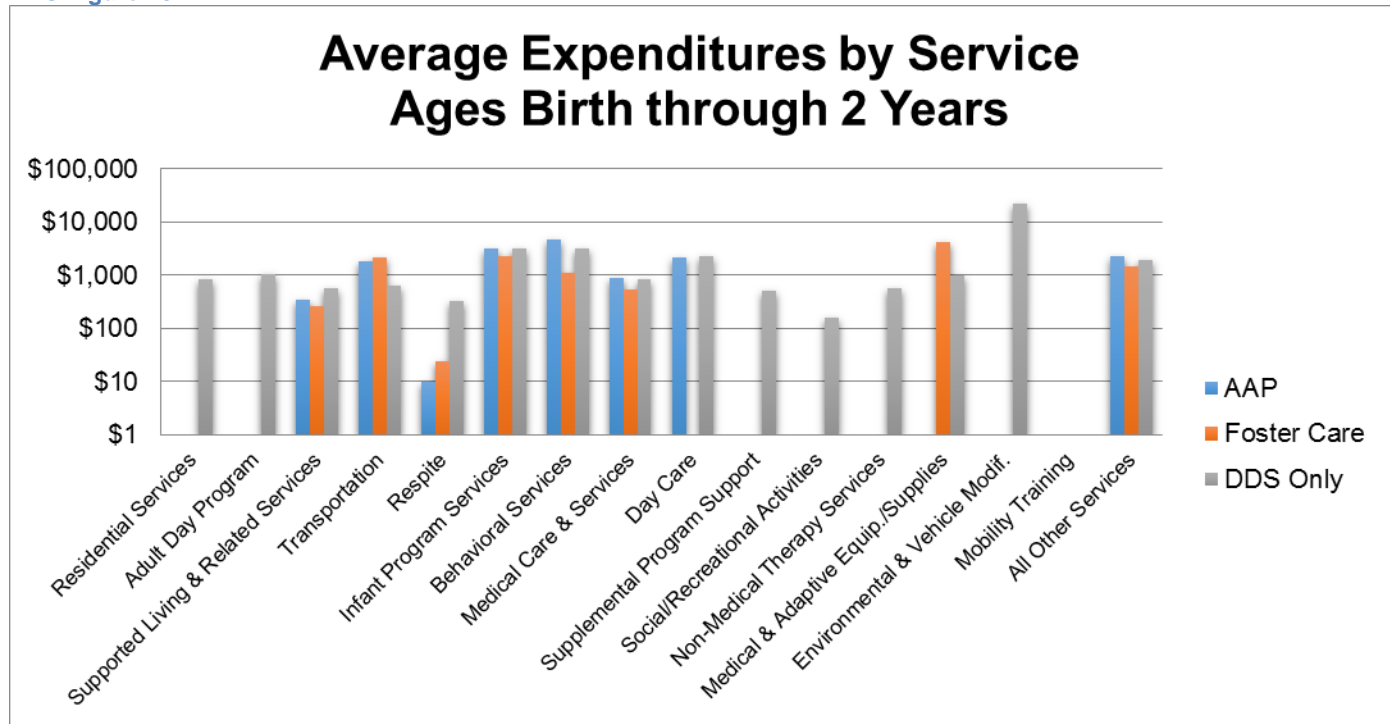


DDS Figure 9

Service Type	AAP	Foster Care	DDS Only	Grand Total
Residential Services	\$0	\$0	\$41,559	\$41,559
Adult Day Program	\$0	\$0	\$1,055	\$1,055
Supported Living & Related Services	\$1,771	\$15,804	\$538,079	\$555,654
Transportation	\$18,539	\$58,519	\$620,060	\$697,118
Respite	\$20	\$144	\$313,015	\$313,179
Infant Program Services	\$1,262,619	\$1,836,536	\$68,886,562	\$71,985,718
Behavioral Services	\$152,474	\$70,276	\$6,953,145	\$7,175,895
Medical Care & Services	\$88,089	\$173,903	\$7,754,724	\$8,016,715
Day Care	\$4,233	\$0	\$226,188	\$230,420
Supplemental Program Support	\$0	\$0	\$520	\$520
Social/Recreational Activities	\$0	\$0	\$649	\$649
Non-Medical Therapy Services	\$0	\$0	\$4,067	\$4,067
Medical & Adaptive Equip./Supplies	\$0	\$4,294	\$51,924	\$56,218
Environmental & Vehicle Modifications	\$0	\$0	\$22,200	\$22,200
Mobility Training	\$0	\$0	\$0	\$0
All Other Services	\$476,452	\$713,821	\$30,003,898	\$31,194,171
Total POS Expenditures	\$2,004,196	\$2,873,297	\$115,417,645	\$120,295,138

DDS Figures 8 and 9 show the total expenditures for services received by consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 10



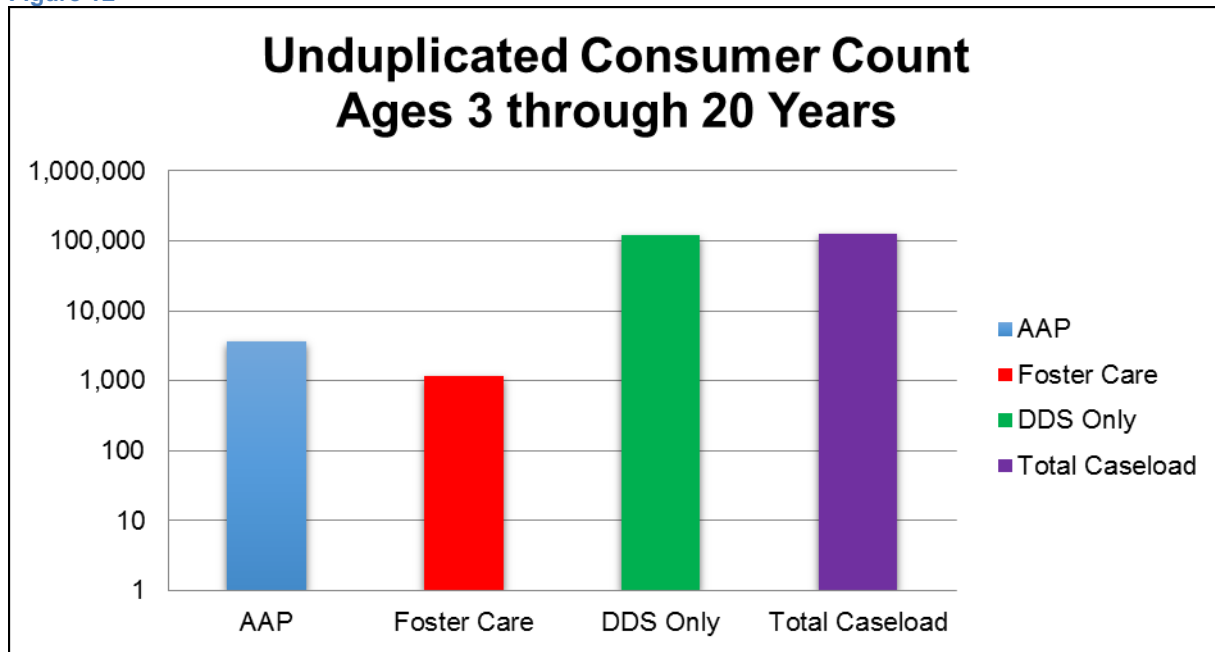
DDS Figure 11

Service Type	AAP	Foster Care	DDS Only	Totals
Residential Services	\$0	\$0	\$848	
Adult Day Program	\$0	\$0	\$1,055	
Supported Living & Related Services	\$354	\$268	\$566	
Transportation	\$1,854	\$2,167	\$624	
Respite	\$10	\$24	\$334	
Infant Program Services	\$3,141	\$2,279	\$3,106	
Behavioral Services	\$4,765	\$1,098	\$3,251	
Medical Care & Services	\$899	\$538	\$840	
Day Care	\$2,116	\$0	\$2,285	
Supplemental Program Support	\$0	\$0	\$520	
Social/Recreational Activities	\$0	\$0	\$162	
Non-Medical Therapy Services	\$0	\$0	\$581	
Medical & Adaptive Equip./Supplies	\$0	\$4,294	\$980	
Environmental & Vehicle Modifications	\$0	\$0	\$22,200	
Mobility Training	\$0	\$0	\$0	
All Other Services	\$2,291	\$1,451	\$1,932	
Total POS Expenditures	\$2,004,196	\$2,873,297	\$115,417,645	\$120,295,138
Unduplicated Total Receiving POS	538	1,187	33,335	35,060
Average Per Consumer Receiving POS	\$3,725	\$2,421	\$3,462	\$3,431.12

DDS Figures 10 and 11 show the average expenditures by service for consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

It should be noted that high, per child averages for some service/caseload combinations reflect service costs for a small number of consumers.

DDS Figure 12



DDS Figure 12 shows the unduplicated count of consumers receiving services beyond case management, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

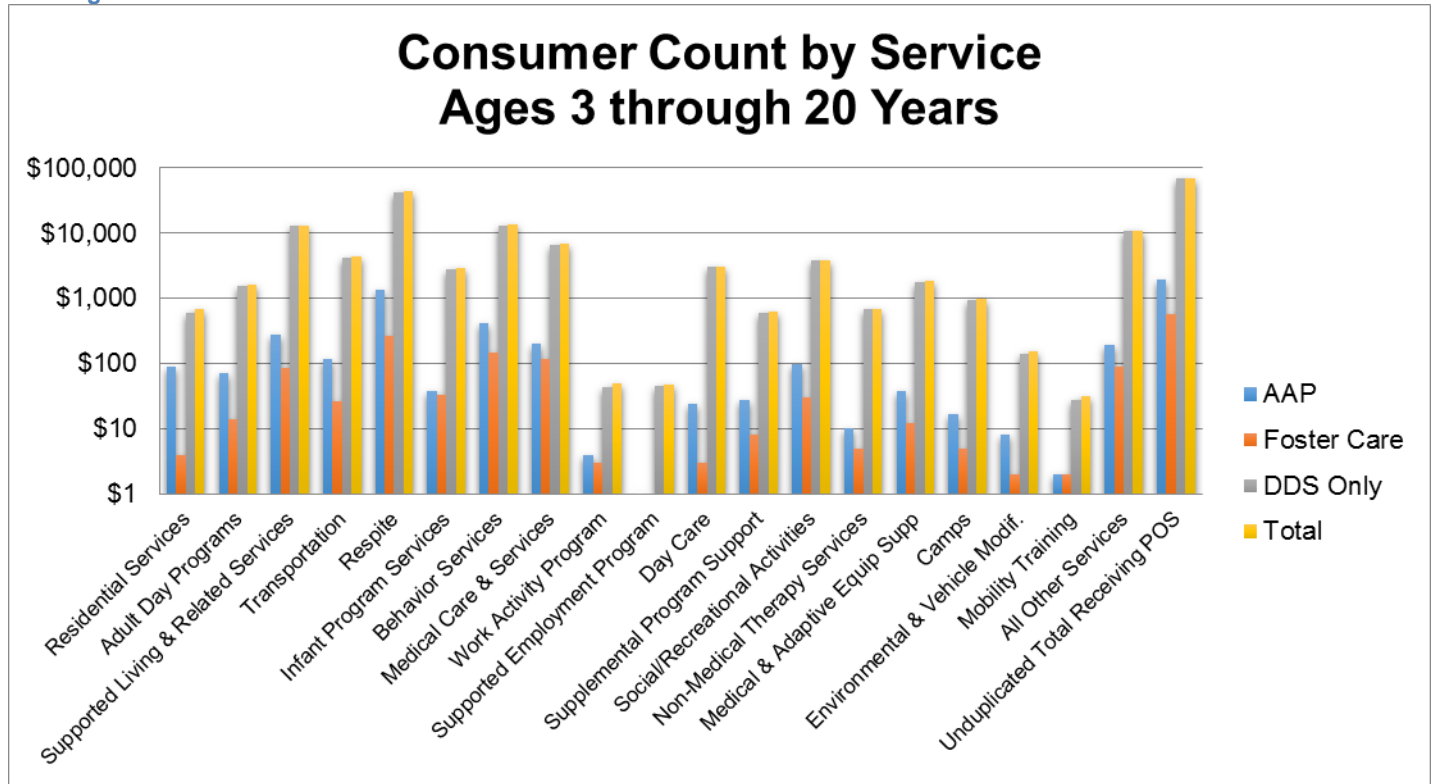
The total AAP, FC, and DDS Only population for consumers, ages 3 through 20 years, is 125,514; of these consumers, 69,995 receive POS.

DDS Figure 13

Purchase of Service (POS)		1-AAP		2-Foster Care		3- DDS Only		Grand Total
Birth through 20 Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	1,640	45%	593	51%	53,286	44%	55,519
	Yes	1,969	55%	562	49%	67,464	56%	69,995
Unduplicated Total		3,609		1,155		120,750		125,514
Percentage of Caseload		2.88%		0.92%		96.20%		100.00%

DDS Figure 13 shows the percent of consumers receiving services purchased through DDS.

DDS Figure 14

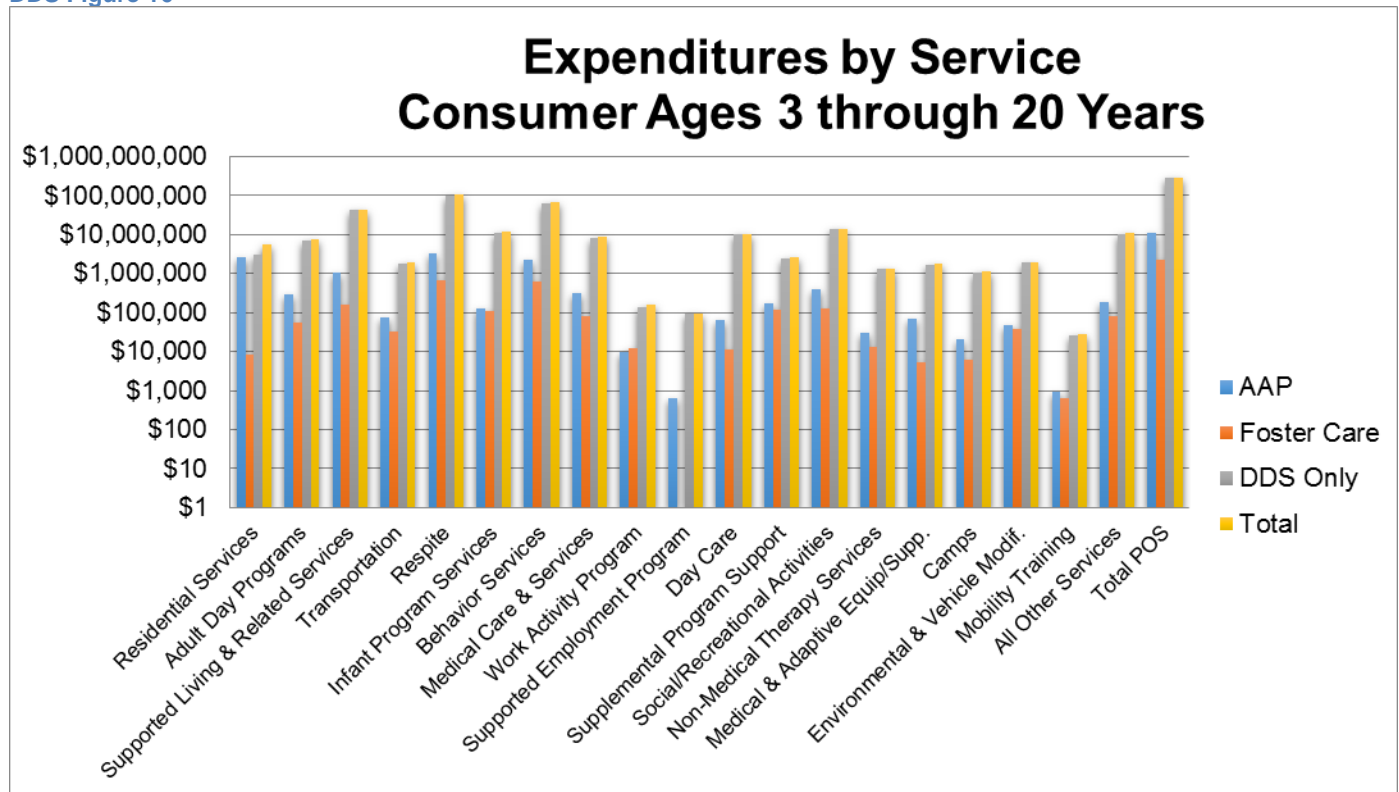


DDS Figure 15

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	90	4	587	681
Adult Day Programs	70	14	1,558	1,642
Supported Living & Related Services	281	84	12,896	13,261
Transportation	118	26	4,189	4,333
Respite	1,328	267	41,634	43,229
Infant Program Services	38	33	2,797	2,868
Behavior Services	415	146	12,949	13,510
Medical Care & Services	198	117	6,602	6,917
Work Activity Program	4	3	43	50
Supported Employment Program	1	0	46	47
Day Care	24	3	3,088	3,115
Supplemental Program Support	27	8	599	634
Social/Recreational Activities	97	30	3,753	3,880
Non-Medical Therapy Services	10	5	683	698
Medical & Adaptive Equip Support	37	12	1,792	1,841
Camps	17	5	943	965
Environmental & Vehicle Modifications	8	2	143	153
Mobility Training	2	2	28	32
All Other Services	197	91	10,715	11,003
Unduplicated Total Receiving POS	1,948	563	68,241	70,752

DDS Figures 14 and 15 show consumer count for services received by consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 16

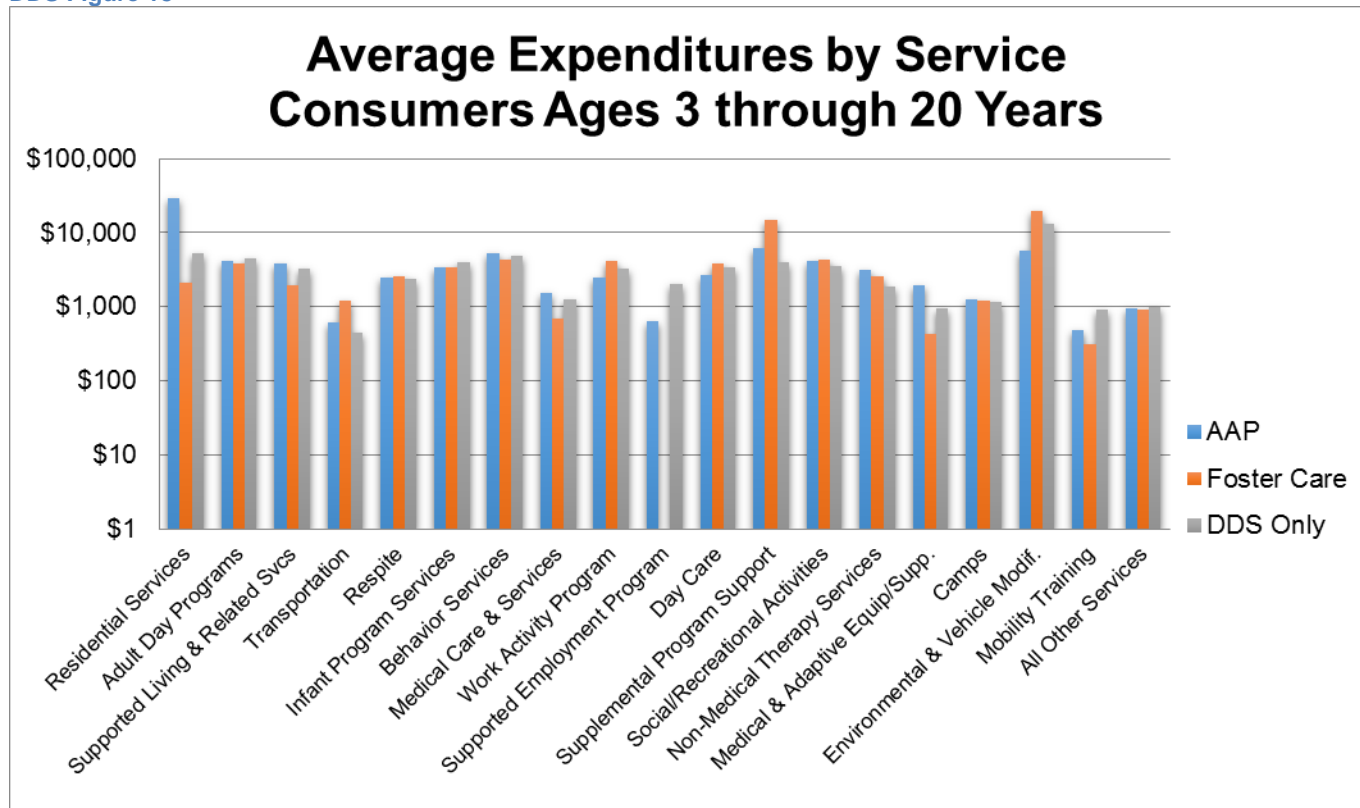


DDS Figure 17

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	\$2,591,037	\$8,259	\$3,109,859	\$5,709,155
Adult Day Programs	\$292,540	\$54,516	\$7,018,723	\$7,365,780
Supported Living & Related Services	\$1,053,006	\$160,696	\$42,077,976	\$43,291,677
Transportation	\$73,027	\$31,425	\$1,844,903	\$1,949,355
Respite	\$3,287,698	\$680,708	\$99,360,481	\$103,328,887
Infant Program Services	\$126,471	\$109,853	\$11,320,367	\$11,556,691
Behavior Services	\$2,218,244	\$635,340	\$63,030,733	\$65,884,316
Medical Care & Services	\$303,685	\$82,054	\$8,230,057	\$8,615,795
Work Activity Program	\$10,020	\$12,360	\$141,045	\$163,425
Supported Employment Program	\$631	\$0	\$91,979	\$92,610
Day Care	\$63,862	\$11,430	\$10,404,590	\$10,479,882
Supplemental Program Support	\$168,777	\$119,051	\$2,368,842	\$2,656,671
Social/Recreational Activities	\$393,676	\$127,589	\$13,504,201	\$14,025,466
Non-Medical Therapy Services	\$31,234	\$12,747	\$1,276,529	\$1,320,509
Medical & Adaptive Equip/Supp.	\$71,981	\$5,178	\$1,699,643	\$1,776,803
Camps	\$20,894	\$6,078	\$1,089,231	\$1,116,203
Environmental & Vehicle Modifications	\$46,340	\$39,189	\$1,911,116	\$1,996,644
Mobility Training	\$945	\$632	\$25,562	\$27,139
All Other Services	\$189,892	\$83,542	\$10,398,238	\$10,671,672
Total POS	\$10,943,960	\$2,180,644	\$278,904,075	\$292,028,679

DDS Figures 16 and 17 show total expenditures for services received by consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 18

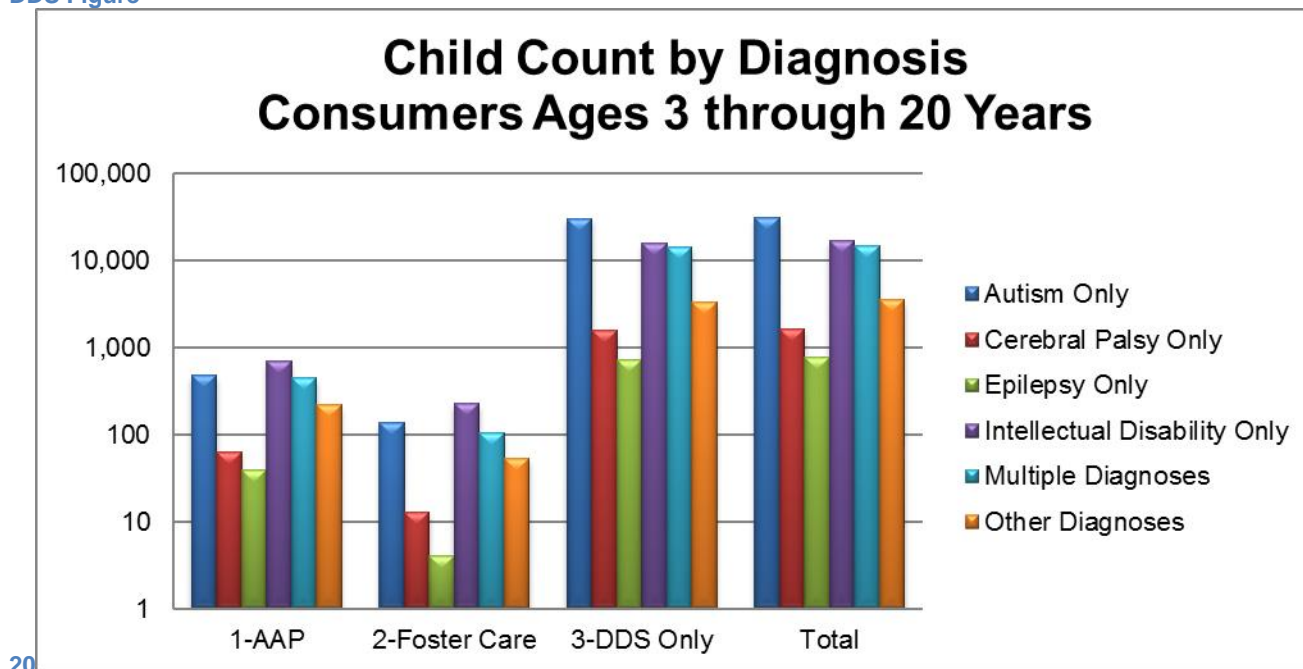


DDS Figure 19

Service Type	AAP	Foster Care	DDS Only
Residential Services	\$28,789	\$2,065	\$5,298
Adult Day Programs	\$4,179	\$3,894	\$4,505
Supported Living & Related Services	\$3,747	\$1,913	\$3,263
Transportation	\$619	\$1,209	\$440
Respite	\$2,476	\$2,549	\$2,387
Infant Program Services	\$3,328	\$3,329	\$4,047
Behavior Services	\$5,345	\$4,352	\$4,868
Medical Care & Services	\$1,534	\$701	\$1,247
Work Activity Program	\$2,505	\$4,120	\$3,280
Supported Employment Program	\$631	\$0	\$2,000
Day Care	\$2,661	\$3,810	\$3,369
Supplemental Program Support	\$6,251	\$14,881	\$3,955
Social/Recreational Activities	\$4,059	\$4,253	\$3,598
Non-Medical Therapy Services	\$3,123	\$2,549	\$1,869
Medical & Adaptive Equip/Supp.	\$1,945	\$432	\$948
Camps	\$1,229	\$1,216	\$1,155
Environmental & Vehicle Modifications	\$5,792	\$19,594	\$13,364
Mobility Training	\$473	\$316	\$913
All Other Services	\$964	\$918	\$970
Average POS	\$5,558	\$3,880	\$4,134

DDS Figures 18 and 19 graph the average expenditures by service for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure



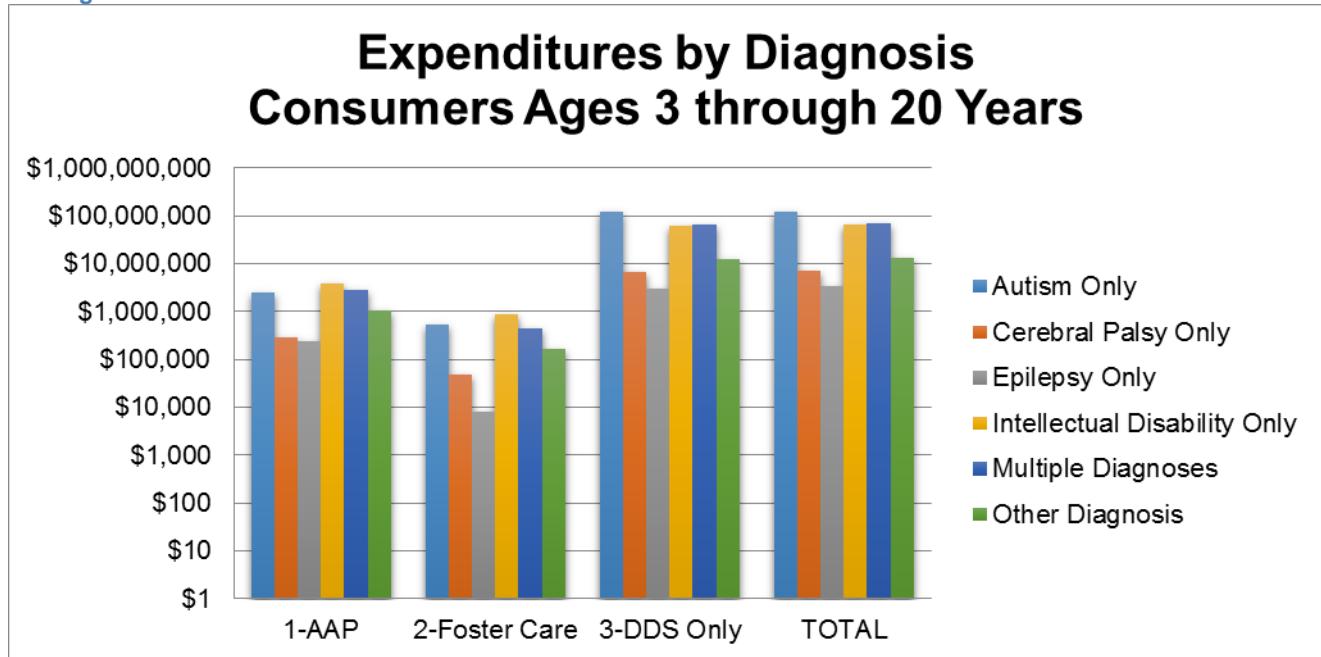
DDS Figure 21

Diagnosis	1-AAP	2-Foster Care	3-DDS Only	Total
Autism Only	479	137	30,129	30,745
Cerebral Palsy Only	64	13	1,561	1,638
Epilepsy Only	39	4	726	769
Intellectual Disability Only	693	227	15,898	16,818
Multiple Diagnoses	446	103	14,240	14,789
Other Diagnoses	219	54	3,262	3,535
Unduplicated Total Receiving Diagnosed POS	1,940	538	65,816	68,294

DDS Figures 20 and 21 show the unduplicated count of consumers receiving services beyond case management, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only) and by diagnosis. The diagnoses include *Autism*, *Cerebral Palsy*, *Epilepsy*, *Intellectual Disability*, *Multiple Developmental Disability*, and *Other Diagnoses*.

Not all consumers receiving services beyond case management receive a diagnosis. Therefore, totals reported by diagnosis will not equal overall totals reported.

DDS Figure 22

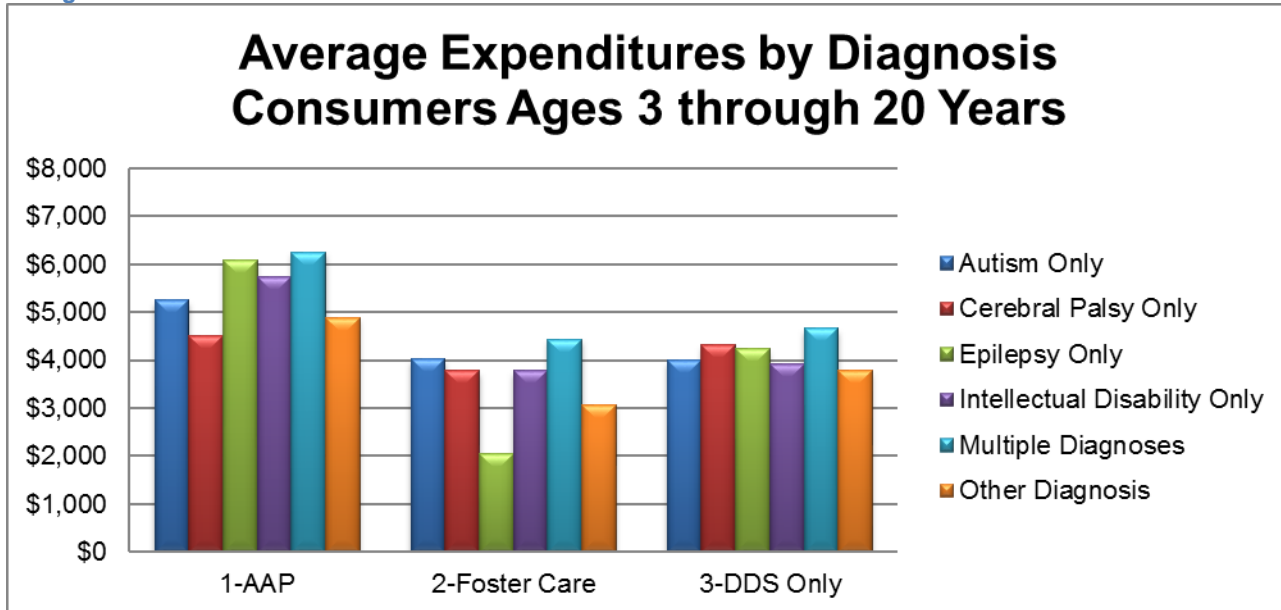


DDS Figure 23

Diagnosis	1-AAP	2-Foster Care	3-DDS Only	Total
Autism Only	\$2,512,588	\$550,645	\$120,214,412	\$123,277,645
Cerebral Palsy Only	\$288,548	\$49,166	\$6,729,610	\$7,067,324
Epilepsy Only	\$236,965	\$8,190	\$3,075,890	\$3,321,045
Intellectual Disability Only	\$3,969,282	\$857,621	\$62,535,755	\$67,362,658
Multiple Diagnoses	\$2,786,459	\$456,417	\$66,338,136	\$69,581,012
Other Diagnosis	\$1,069,476	\$165,946	\$12,316,561	\$13,551,983
Total Expenditures	\$10,863,318	\$2,087,984	\$271,210,364	\$284,161,666

DDS Figures 22 and 23 show the total expenditures for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only) and by diagnosis.

DDS Figure 24

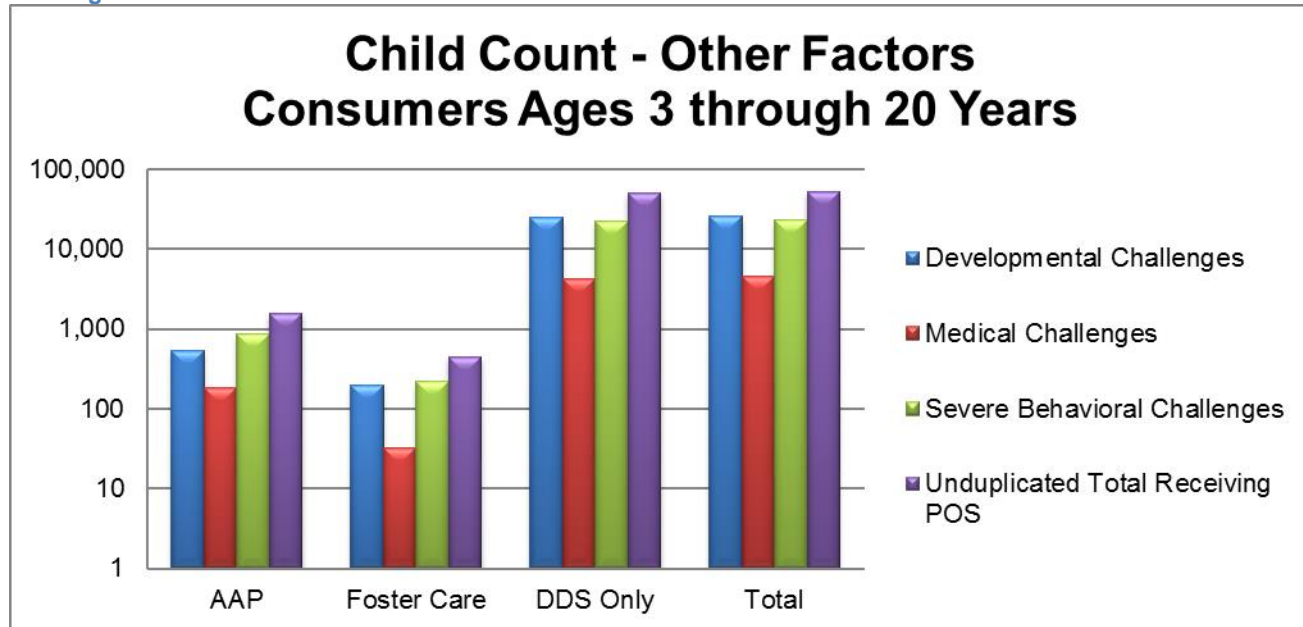


DDS Figure 25

Diagnosis	1-AAP	2-Foster Care	3-DDS Only
Autism Only	\$5,245	\$4,019	\$3,990
Cerebral Palsy Only	\$4,509	\$3,782	\$4,311
Epilepsy Only	\$6,076	\$2,047	\$4,237
Intellectual Disability Only	\$5,728	\$3,778	\$3,934
Multiple Diagnoses	\$6,248	\$4,431	\$4,659
Other Diagnosis	\$4,883	\$3,073	\$3,776
Unduplicated Total Receiving POS	\$5,600	\$3,881	\$4,121

DDS Figures 24 and 25 represent the average expenditures for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only) and diagnosis.

DDS Figure 26

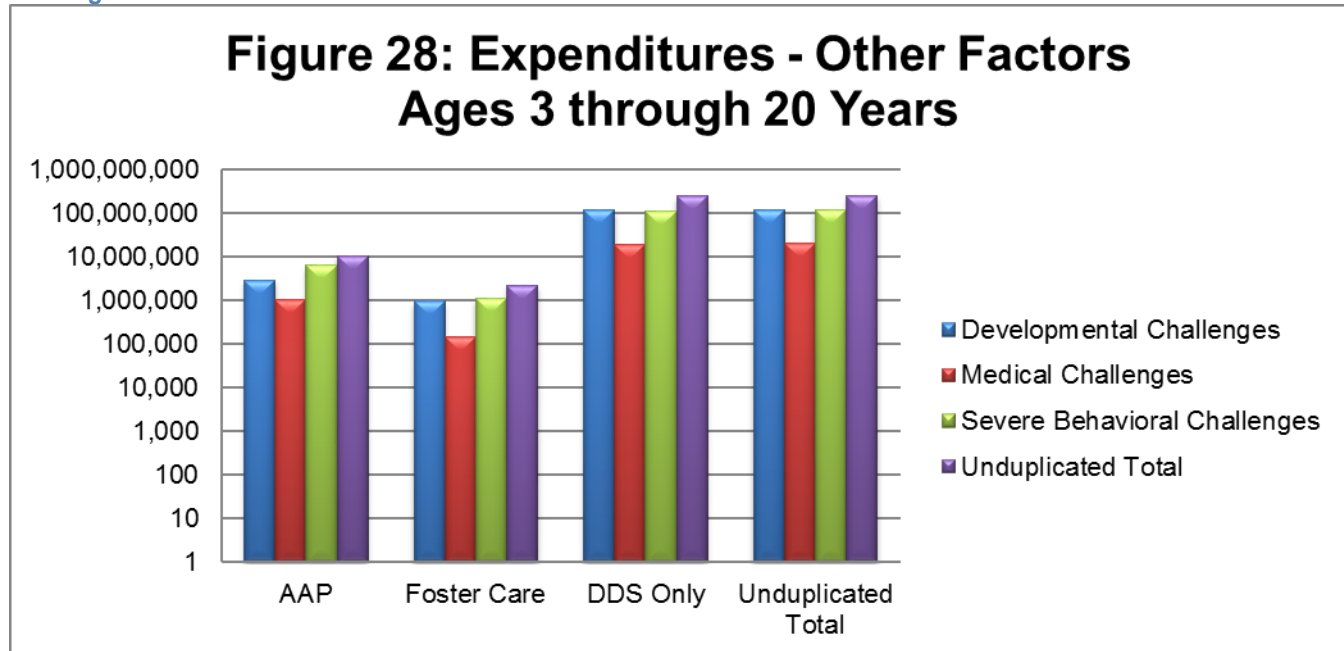


DDS Figure 27

Other Factors	AAP	Foster Care	DDS Only	Total
Developmental Challenges	546	201	24,884	25,631
Medical Challenges	186	32	4,292	4,510
Severe Behavioral Challenges	856	219	21,987	23,062
Unduplicated Total Receiving POS	1,588	452	51,163	53,203

DDS Figures 26 and 27 display the unduplicated count for consumers, ages 3 through 20 years, by caseload with assessed challenges not directly related to their diagnosis. These challenges are referred to as “Other Factors.” Not all consumers are assessed with “Other Factors.” Therefore, counts reported under “Other Factors” will not equal total child count.

DDS Figure 28



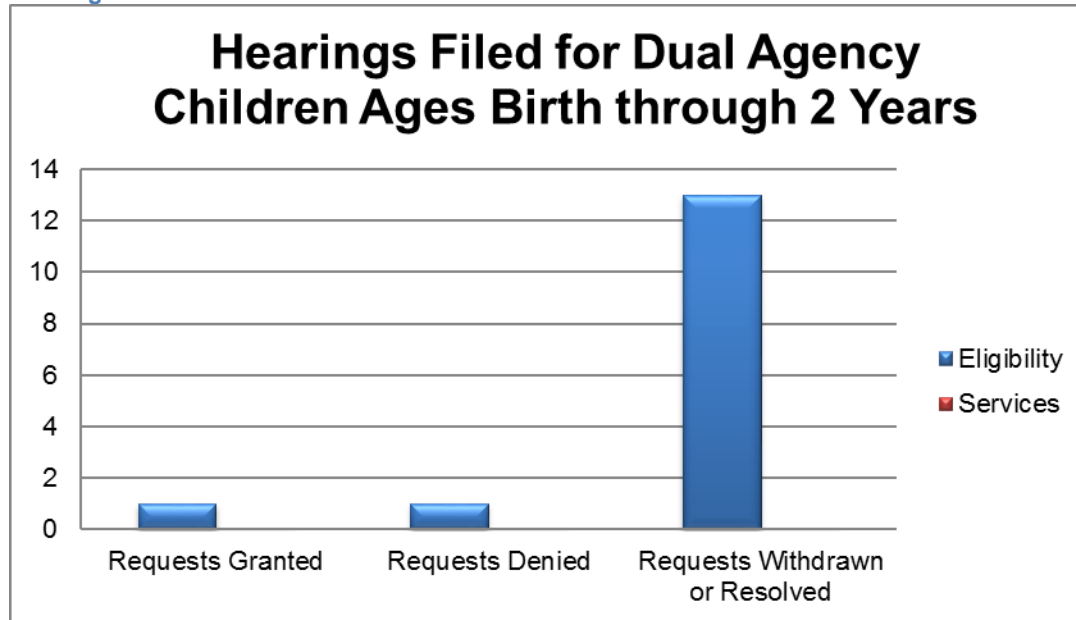
DDS Figure 29

Other Factors	AAP	Foster Care	DDS Only	Unduplicated Total
Developmental Challenges	\$2,892,494	\$970,452	\$115,997,814	\$119,860,760
Medical Challenges	\$1,037,748	\$144,958	\$19,065,180	\$20,247,886
Severe Behavioral Challenges	\$6,448,615	\$1,086,219	\$108,000,632	\$115,535,466
Unduplicated Total	\$10,378,857	\$2,201,629	\$243,063,626	\$255,644,112

DDS Figures 28 and 29 show the total expenditures for consumers, ages 3 through 20 years, by caseload and by “Other Factors.”

HEARINGS

DDS Figure 30

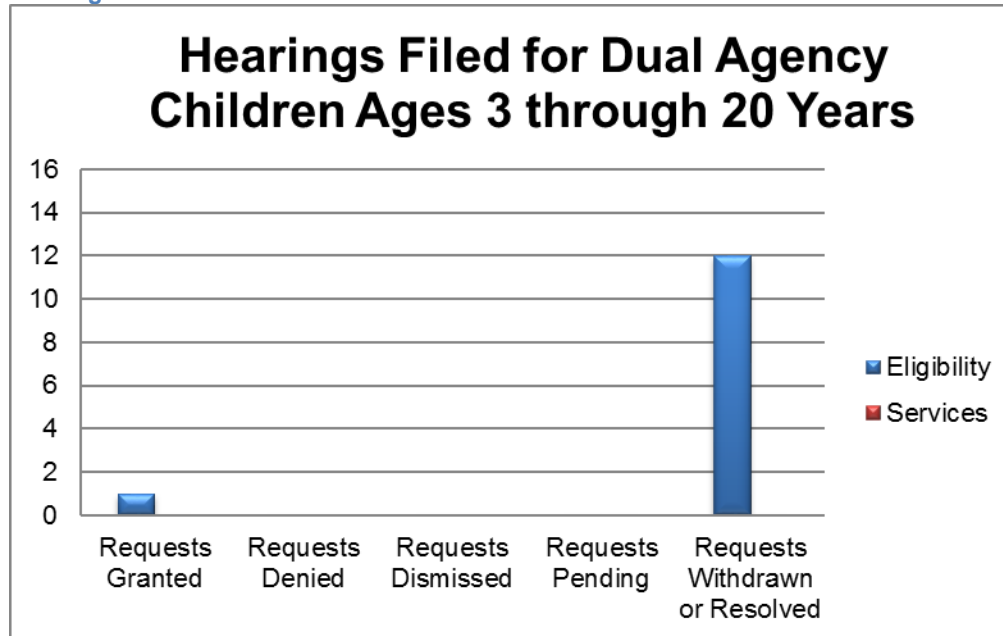


DDS Figure 31

Hearing Outcomes Birth through 2 Years	Eligibility	Services	Grand Total
Requests Granted	1	0	1
Requests Denied	1	0	1
Requests Withdrawn or Resolved	13	0	13
Unduplicated Total	15	0	15

DDS Figures 30 and 31 shows hearings requested for AAP/Foster Care Dual Agency consumers, ages 0 through 2 years, for this report period.

DDS Figure 32



DDS Figure 33

Hearing Outcomes 3 through 20 Years	Eligibility	Services	Grand Total
Requests Granted	1	0	1
Requests Denied	0	0	1
Requests Dismissed	0	0	0
Requests Pending	0	0	0
Requests Withdrawn or Resolved	12	0	12
Unduplicated Total	13	0	13

DDS Figures 32 and 33 shows hearings requested for AAP/Foster Care Dual Agency consumers, ages 3 through 20 years, for this report period.

REPORT SUMMARY

The following conclusions can be drawn from the October 2017 data:

- Figure 1 indicates between July 2016 and December 2016, the total AFDC-FC caseload was 41,584. The AFDC-FC Family Home caseload (23,324) represented about 56 percent of the total AFDC-FC caseload. While not within the scope of this report, the remaining 44 percent are represented by the Foster Family Agency and Group Home caseloads.
- Figure 4 indicates between July 2016 and December 2016, there were a total of 3,969 dual agency AAP caseloads. The majority of the dual agency AAP caseload three years of age and older (3,395) comprised about 86 percent of the overall caseload population. The birth to less than three years of age (574) accounted for about 14 percent of the caseload.
- Figure 5 indicates between July 2016 and December 2016, fifty-nine percent (191) of the dual agency Kin-GAP caseload were three years and older, and the remaining forty-one percent (133) were birth to less than three years. The total dual agency Kin-GAP caseload was 324.

The data collection tool “Supplement to the Rate Requests for Dual Agency AFDC-FC and AAP” provided the mechanism for collecting data for actions taken by counties related to requests for a supplement to the dual agency rate. The actions taken were similar in the AFDC-FC and AAP dual agency caseloads. Since implementation, the data indicates that the majority of the requests for a supplement to a dual agency rate are authorized upon initial request: 91 percent in AFDC-FC and 94 percent in AAP. When combined, the AFDC-FC and AAP requests made and authorized at the \$1,000 level of supplement to the dual agency rate, more than any other level of supplement represents 93 percent.